

Me llamo Jim Bezdek



Hola amigos !



Amberjack
Pensacola, FL



Paraiso (363 dias al año)



**Speckled Trout
Pensacola, FL**

Los otros dos?

NO ES TAN CALIENTE !





Speckled Trout
Pensacola, FL

Antes de que el huracán Iván





Mudshark
Melbourne, Au

Australian Report Map
© copyright Commonwealth of Australia
(Geoscience Australia) 1996





Wahoo and Ulua
Maui, Hawaii



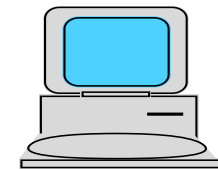
Kawai, Napier, NZ

¿Qué son los grupos?

Para los humanos



Para las computadoras



Clusters from the *human point of view* (HPOV)

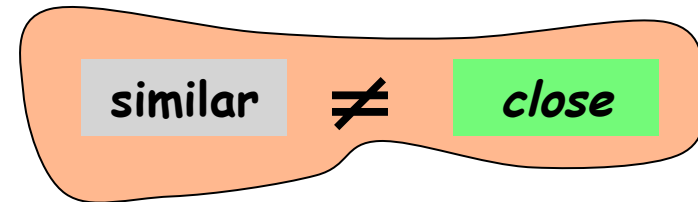
1st Google Hit *a grouping of a number of similar things*

Encarta World Dictionary (v) *to gather into or form a small group*

Online Free Dictionary (n) *a group of the same or similar elements gathered or occurring closely together*

3 ingredients

group

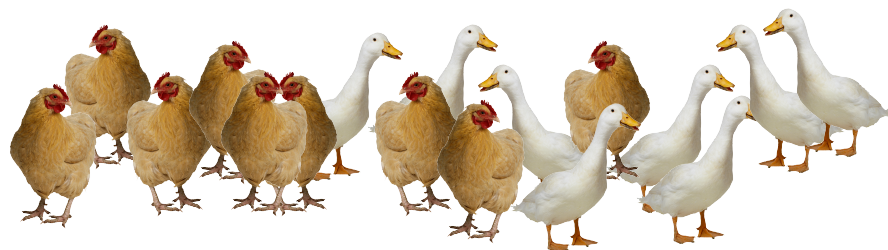
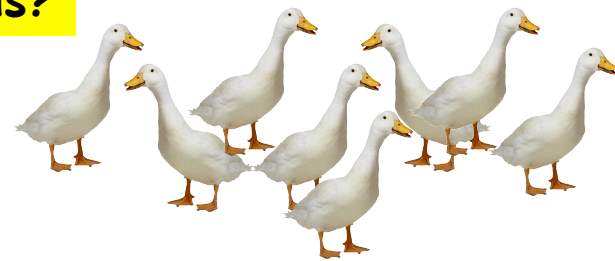
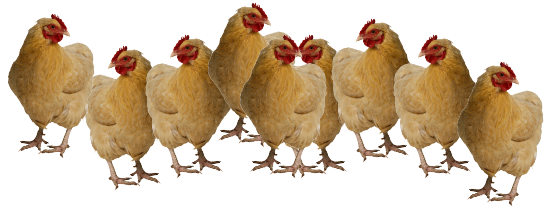


Similar (objects) \neq close (objects) for humans

Similar (vectors) = (close) vectors for computers

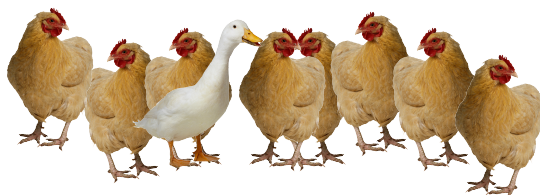
Different observers may see similarity differently

2 clusters of birds?



2 clusters of birds ... or

1 cluster of birds with 2 kinds of physical labels?



1 cluster of birds ... or

1 cluster of chickens
2 labels, 1 is noise ?

When do humans "see" *several* clusters?

HHHHHHHTTTTTTTHHHHHH

1, 2 or 3 ?

HHHHHTTTTTT HHHHH

1 or 2 ?

HHHHHH TTTTTT HHHHH

Now?

1, 2 or 3 ?

HHHHHHHHHHHTHHHHHHHHH

1 or 2 ?

HHHHHHHHHH THHHHHHHHH

Now ?

HHHHHHHHHH T HHHHHHHHH

HTHTHTHT

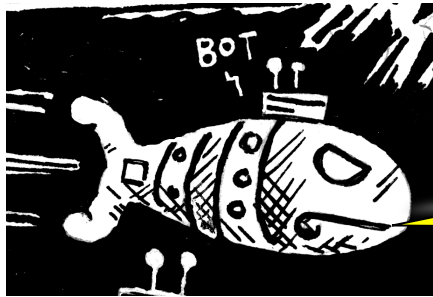
1 or 2 ?

H T H T H T H T

1, 2 or 8 ?

When subsets have "enough" similarity, compactness and separation

So, humans *may* "see" clusters of real objects, but...



How could a *computer* "see" clusters?

Computers use (Numerical) *data*

Object Data

Relational Data

Cluster analysis comprises computational models and algorithms that search for *groups of similar ("close") data that are "well separated"*

We hope that *computer point of view (CPOV) clusters in data* correspond to *HPOV clusters in the objects* represented by the data

[*CPOV*] clusters are defined *ONLY by each model and algorithm*.
So e.g., we can have HCM/AO \neq HCM/PSO \neq HCM/GA clusters

... and, we *NEVER* know *CPOV clusters in the objects* represented by almost all numerical data (only object data for $p \leq 3$)

2 Kinds of Data for Pattern Recognition

Objects $O = \{o_1, \dots, o_n\} : o_i = i\text{-th } \textit{physical} \text{ object}$

Object Data $X = \{x_1, \dots, x_n\} \subset \mathbb{R}^p : x_i = \textit{feature vector} \text{ for } o_i$
 $x_{ji} = j\text{-th } (\textit{measured}) \text{ feature of } x_i : 1 \leq j \leq p$

Relational Data $R = [r_{ij}] = \textit{relationship} (o_i, o_j) \text{ or } (x_i, x_j)$
 $s_{ij} = \textit{pairwise similarity}^{d_{ij}} (o_i, o_j) \text{ or } (x_i, x_j)$
 $d_{ij} = \textit{pairwise dissimilarity} (o_i, o_j) \text{ or } (x_i, x_j)$

Typically $(R = D)$ $\left. \begin{array}{l} d_{ii} = 0 \quad : 1 \leq i \leq n \\ d_{ij} > 0 \quad : 1 \leq i, j \leq n \end{array} \right\} \text{ (Positive-definite)}$
 $d_{ij} = d_{ji} \quad : 1 \leq i \neq j \leq n \quad \text{ (Symmetric)}$

We often convert $X \rightarrow D$ with *distance* $d_{ij} = \|x_i - x_j\|$

Cluster Analysis *in Numerical Data* : 3 Problems

Preclustering

1. Assessment

Does the data
have (c) clusters?

Partitioning

2. Clustering

Find clusters
 $U(c)$ in X or R

Post-clustering

3. Validation

$U(c)$ ok? (useful,
realistic, etc.)

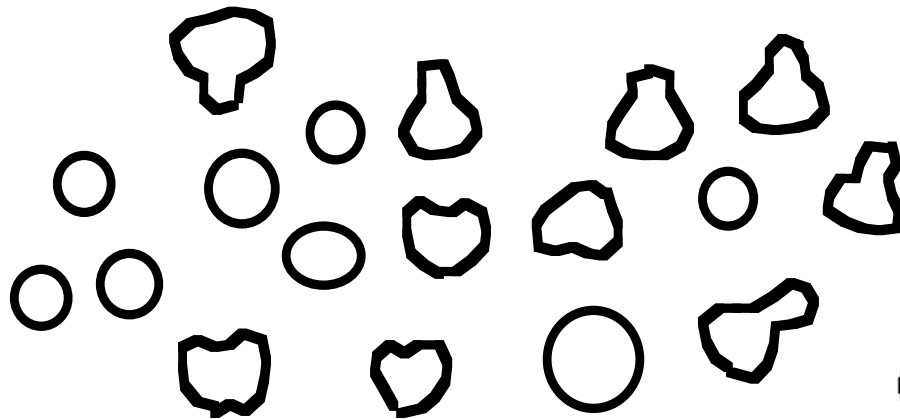
“Correlation profile analysis is a simple method of discovering and grouping together variables having identical patterns, ..., which are said to comprise a cluster. The objective of [factor] analysis is to discover clusters and the arrangement of these.”

Tryon, R. C. (1939). *Cluster Analysis*, Edwards Bros., Ann Arbor, MI

“Now we face the much nastier problem of determining the appropriate value for [c].”

Thorndike, R. L. (1953). Who belongs in the family? *Psychometrika*, 18(4), 267-276.

Label the similar objects



What is "similar"?

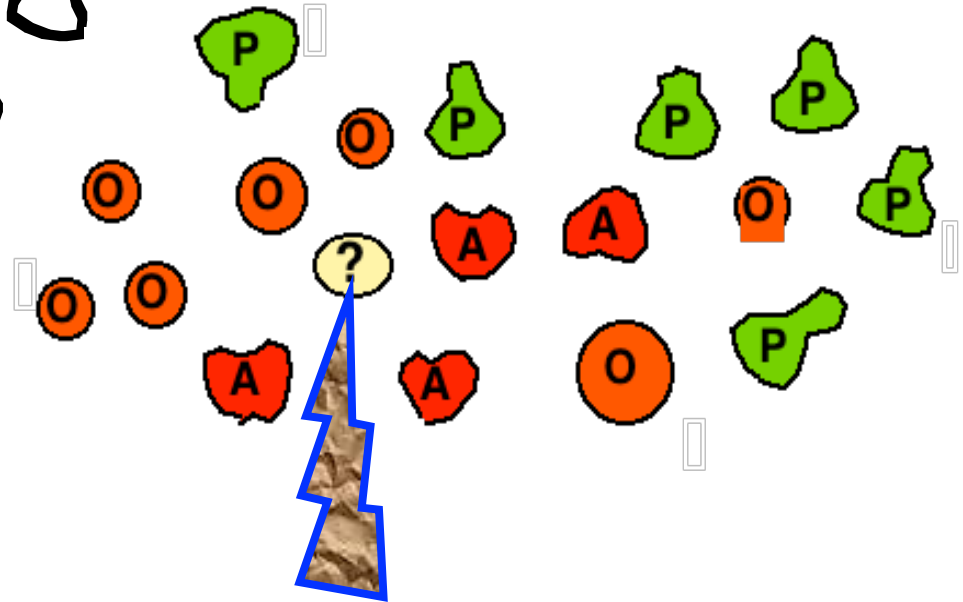
What is "close"?

How many groups (c) ?

Easy for Humans !

What is Clustering ?

(Unsupervised Learning)



Hard for computers !

Measuring (Dis)Similarity : The Usual Suspects

Mahalanobis

< , > Norms

Diagonal

Euclidean

City Block

Minkowski Norms

Sup or Max

$$d_{\text{Maha}} = \|\mathbf{x} - \mathbf{v}\|_{\mathbf{M}^{-1}} = \sqrt{(\mathbf{x} - \mathbf{v})^T \mathbf{M}^{-1} (\mathbf{x} - \mathbf{v})}$$

$$d_{\text{diag}} = \|\mathbf{x} - \mathbf{v}\|_{\mathbf{D}_M^{-1}} = \sqrt{(\mathbf{x} - \mathbf{v})^T \mathbf{D}_M^{-1} (\mathbf{x} - \mathbf{v})}$$

$$d_2 = \|\mathbf{x} - \mathbf{v}\|_{\mathbf{I}} = \sqrt{(\mathbf{x} - \mathbf{v})^T (\mathbf{x} - \mathbf{v})}$$

$$d_1 = \|\mathbf{x} - \mathbf{v}\|_1 = \sum_{j=1}^p |x_j - v_j|$$

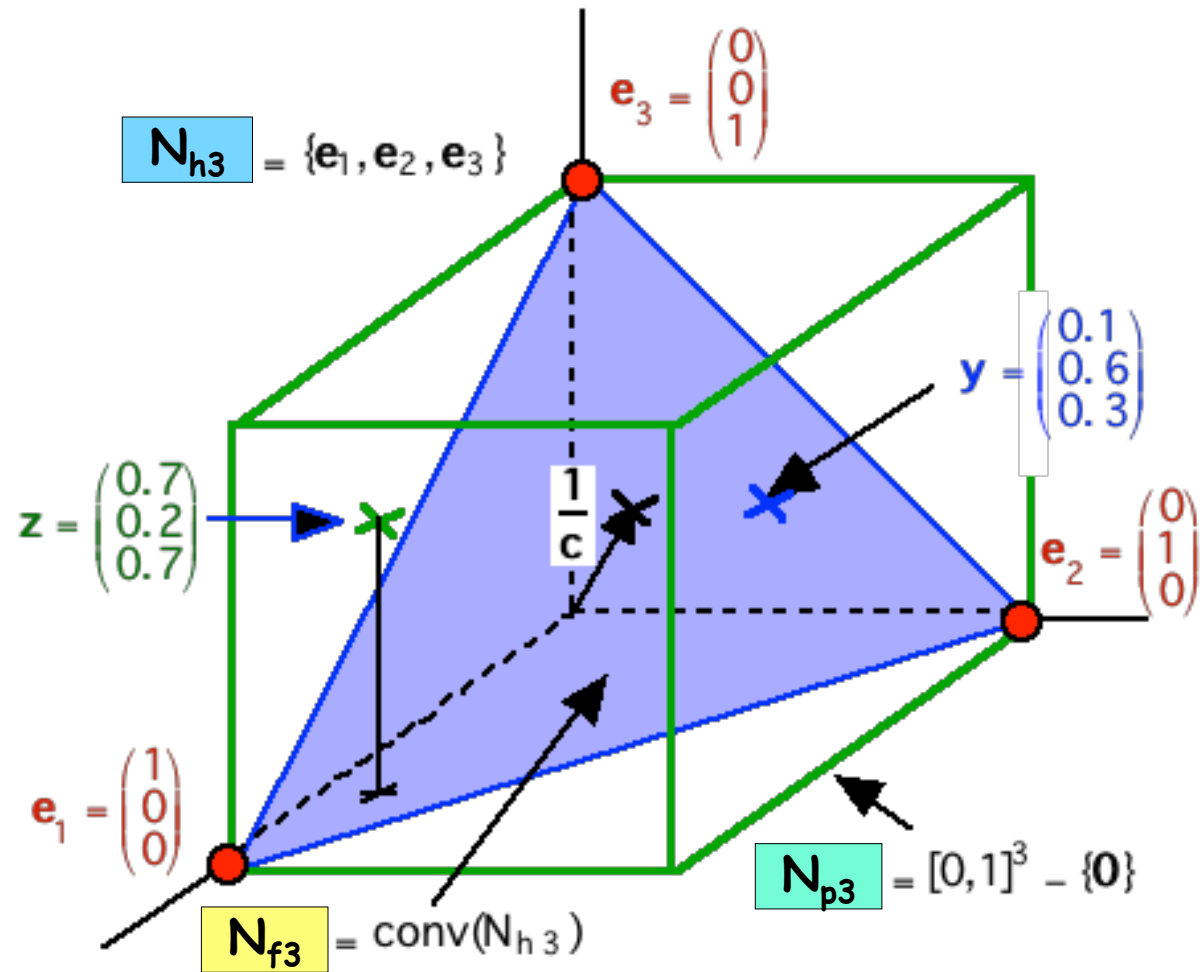
$$d_\infty = \|\mathbf{x} - \mathbf{v}\|_\infty = \max_{1 \leq j \leq p} \{ |x_j - v_j| \}$$

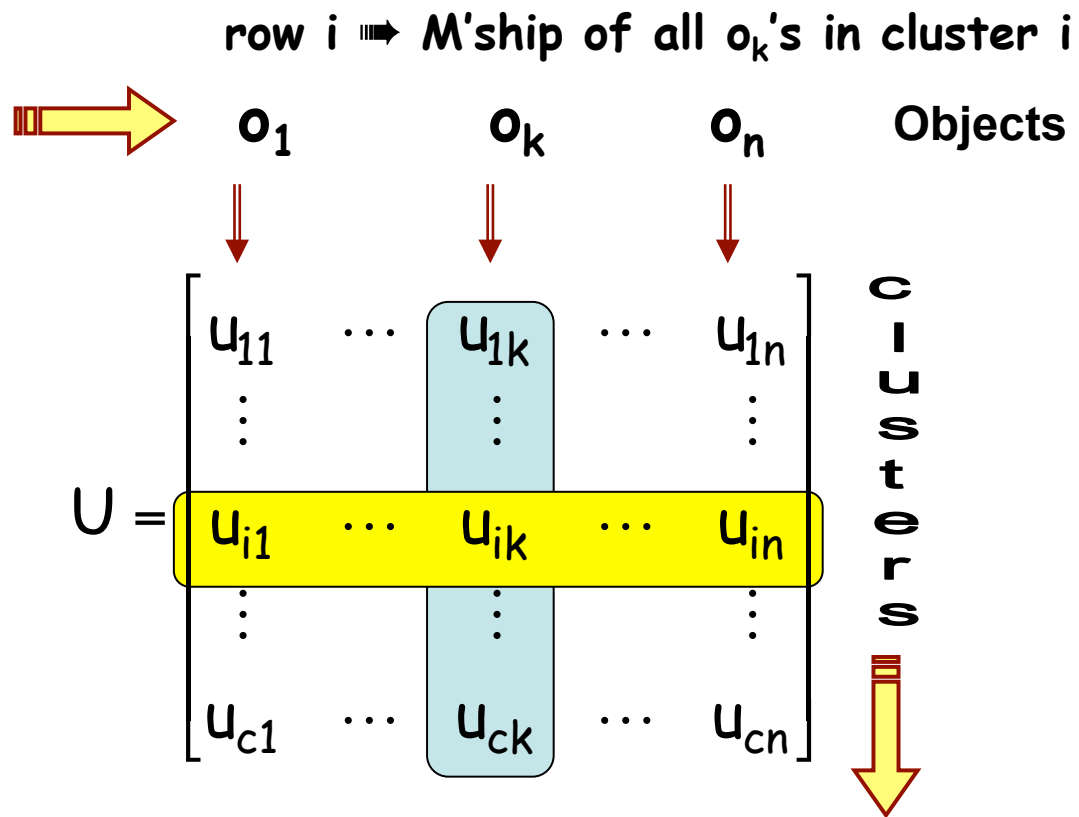
Label Vectors @ $c = 3$

Crisp
= Vertices

Fuzzy or Probabilistic
= Triangle

Possibilistic
= Cube - {0}





col $k \Rightarrow$ M'ship of o_k in each cluster

Partition Matrices

Membership Functions

$$u_i: O \rightarrow [0, 1]$$

$u_i(o_k) = u_{ik} =$ M'ship of o_k in cluster i

Crisp

Fuzzy/Prob

Possibilistic

Row sums

$$\sum_k u_{ik} > 0$$



Col sums

$$\sum_i u_{ik} = 1$$



$$\sum_{i=1}^c u_{ik} \leq c$$

M'ships

$$u_{ik} \in \{0, 1\}$$

$$u_{ik} \in [0, 1]$$



Set Name

M_{hcn}

\subset

M_{fcn}

\subset

M_{pcn}

Example

1 0 0 0
0 1 0 0
0 0 1 1

1 .07 0 .44
0 .91 0 .06
0 .02 1 .50

1 .07 1 .44
0 .91 0 .52
0 .02 1 .38

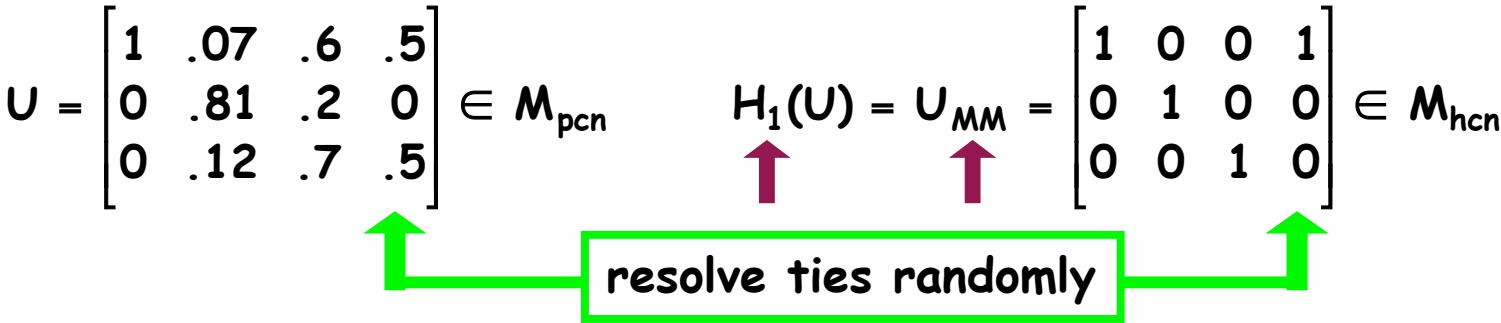
Take a 2nd



Hardening Partitions

Defuzzification (**Deprobabilization = Bayes Rule** for $U \in M_{fcn}$!)

Defusification - we *defusify* bombs, situations - blah blah ...



α -cut thresholding ($0 < \alpha < 1$) **filters** chosen levels of m'ship



3 Types of Basic Clustering Models

1. *U only models* : find *clusters* $U \in M_{pcn}$

☀ Usually *relational models*, e.g., single linkage

☀ $V=F(U)$ can be computed - if you want it

2. *V only models* : find (typically) *point prototypes* $V \in R^{cp}$

☀ Usually *local sequential* models, e.g., SOM

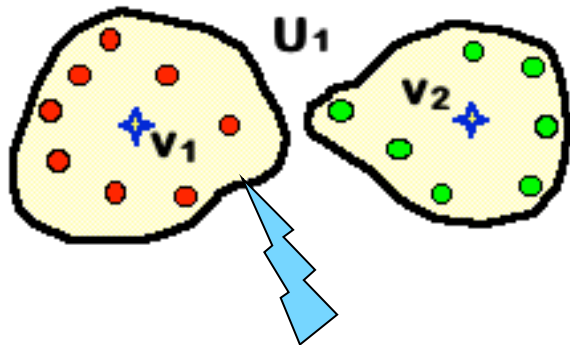
☀ $U=G(V)$ can be computed - *super important* for VL Data Methods

(U, V) models : find $(U, V) \in M_{pcn} \times R^{cp}$

☀ 3A. Usually *global batch* models, e.g., c-Means

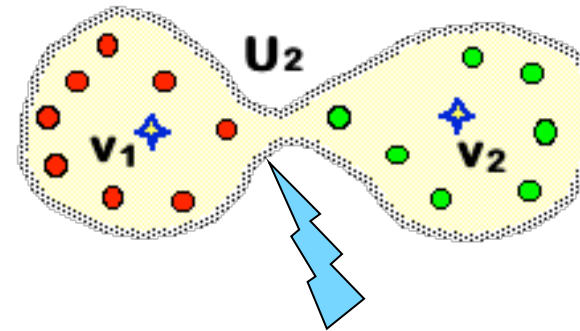
☀ 3B. $(U, V, +)$ have other parameters, e.g., $\{p_i\}$, $\{\Sigma_i\}$ in GMD

Clusters can be represented by partition matrix (U) or prototypes $V = \{v_k\}$



$$U_c = \begin{array}{cccc|ccc} 1 & 1 & \dots & 1 & 0 & 0 & \dots 0 \\ 0 & 0 & \dots & 0 & 1 & 1 & \dots 1 \end{array}$$

Crisp : hard boundaries



$$U_f = \begin{array}{cccc|ccc} .9 & 1 & \dots & .6 & .3 & 0 & .2 \dots 0 \\ .1 & 0 & \dots & .4 & .7 & 1 & .8 \dots 1 \end{array}$$

Fuzzy : soft boundaries



Rock Bass, Penghu, Taiwan

The c-Means Clustering Models

The c-Means Models : Early History

1802

Gauss uses least squares to estimate orbits of planets

Sends method to "a correspondent" in unpublished letter

1806

Legendre *publishes* first paper on least squares estimation

Gauss says "*been there, done that*"

Legendre *freaks out*

Gauss says Legendre *IS a freak!*

1812

Olbers produces Gauss's letter, it's date is authenticated

The c-Means Models : Modern History

1939

Tryon publishes first book on clustering

1948

Shannon develops basis of VQ = information theory

1956

Steinhaus discusses hard c-means functional for clustering

1957

Lloyd derives batch HCM/AO formulae algebraically

1962

Sebestyn formulates sequential hard c-means (SHCM)

1963

Ward gives a MSE "relational version" of batch HCM

1965

Zadeh publishes first paper on fuzzy sets

1967

MacQueen gives asymptotic statistical properties of SHCM

1969

Ruspini publishes first paper on fuzzy clustering

1973

Bezdek develops fuzzy c-means (FCM/AO) model/algorithm

Batch Hard and Fuzzy c-Means Models

Objective function

$$J_m(U, V) = \sum_{k=1}^n \sum_{i=1}^c u_{ik}^m \|x_k - v_i\|_A^2$$

Inputs

Object data : $X = \{x_1, \dots, x_n\} \subset \mathbb{R}^p$

Unknowns

(Fuzzy) Partition : $U \in M_{fcn}$

Prototypes $V = \{v_1, \dots, v_c\} \subset \mathbb{R}^{cp}$

Optimization Problem, $m \geq 1$

$$\underset{\substack{U \in M_{fcn} \\ V \in \mathbb{R}^{cp}}}{\text{minimize}} \left\{ J_m(U, V) = \sum \sum u_{ik}^m \|x_k - v_i\|^2 \right\}$$

FONCs for extrema of the HCM/FCM Functionals

FCM

Prototypes
 $V=F(U,X)$

$$v_i = \frac{\sum_{k=1}^n (u_{ik})^m x_k}{\sum_{j=1}^n (u_{ij})^m}$$

limit=
 $m \rightarrow 1^+$

HCM

$$v_i = \frac{\sum_{k=1}^n u_{ik} x_k}{\sum_{k=1}^n u_{ik}}$$

Partition
 $U=G(V,X)$

$$u_{ik} = \left[\sum_{j=1}^c \left(d_{ikA} / d_{jkA} \right)^{\frac{2}{m-1}} \right]^{-1}$$

limit=
 $m \rightarrow 1^+$

$$u_{ik} = \begin{cases} 1 & d_{ikA} \leq d_{jkA}, j \neq i \\ 0 & \text{otherwise} \end{cases}$$

$$d_{ikA} = \|x_k - v_i\|_A = \sqrt{(x_k - v_i)^T A (x_k - v_i)}$$

$A_{p \times p}$ positive definite

FONCs for extrema of J_1 provide a natural "equilibrium" between *U only* and *V only* models

U models: $V=G(U)$

$$v_i = \frac{\sum_{k=1}^n u_{ik} x_k}{\sum_{k=1}^n u_{ik}} = \sum_{x \in X_i} \frac{x}{n_i}$$

Centroid of cluster i

V models: $U=F(V)$

$$u_{ik} = \begin{cases} 1 & \|x_k - v_i\|_A \leq \|x_k - v_j\|_A, j \neq i \\ 0 & \text{otherwise} \end{cases}$$

1-np classifier rule

Input

User Picks

Initialize

AO Loop

Outputs

Unlabeled Object data: $X \subset \mathbb{R}^p$

HCM/FCM AO Algorithms

$c, m, \varepsilon, T, \|\cdot\|_A, \|\cdot\|_{err}$

$V_0 = (v_{10}, \dots, v_{c0}) \in \mathfrak{R}^{cp}$

$U_0 = G(V_0, X)$

$V_1 = F(U_0, X)$ -----> % For loop startup

t=0

WHILE [t<T and $\|V_{t+1} - V_t\|_{err} > \varepsilon]$



$U_{t+1} = G(V_{t+1}, X)$

% Next partition

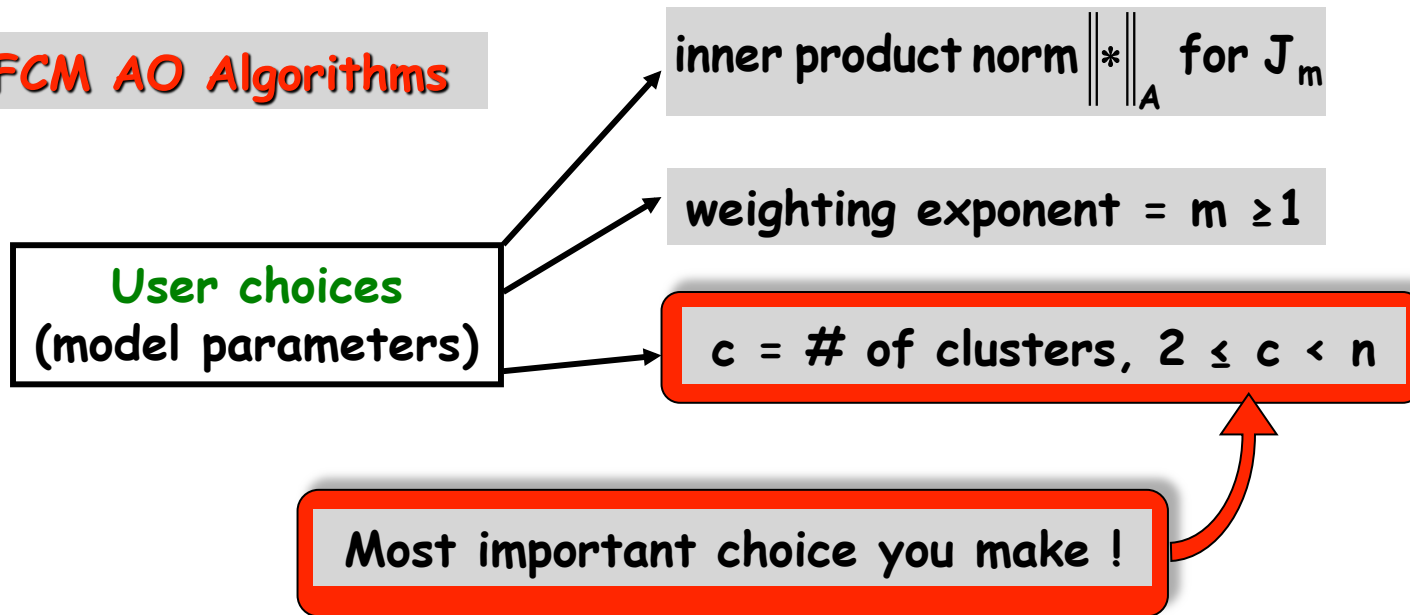
$V_{t+2} = F(U_{t+1}, X)$

% Next prototypes

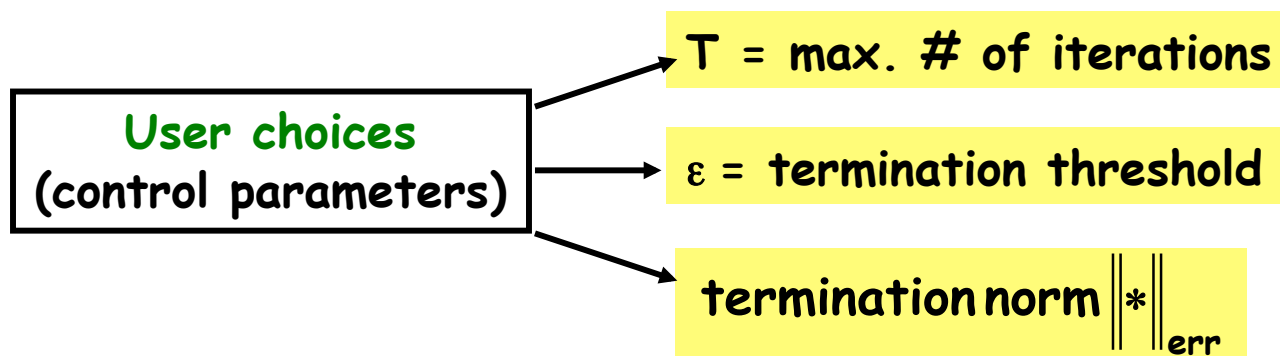
WEND

$(U^*, V^*) \in M_{fcn} \times \mathfrak{R}^{cp}$

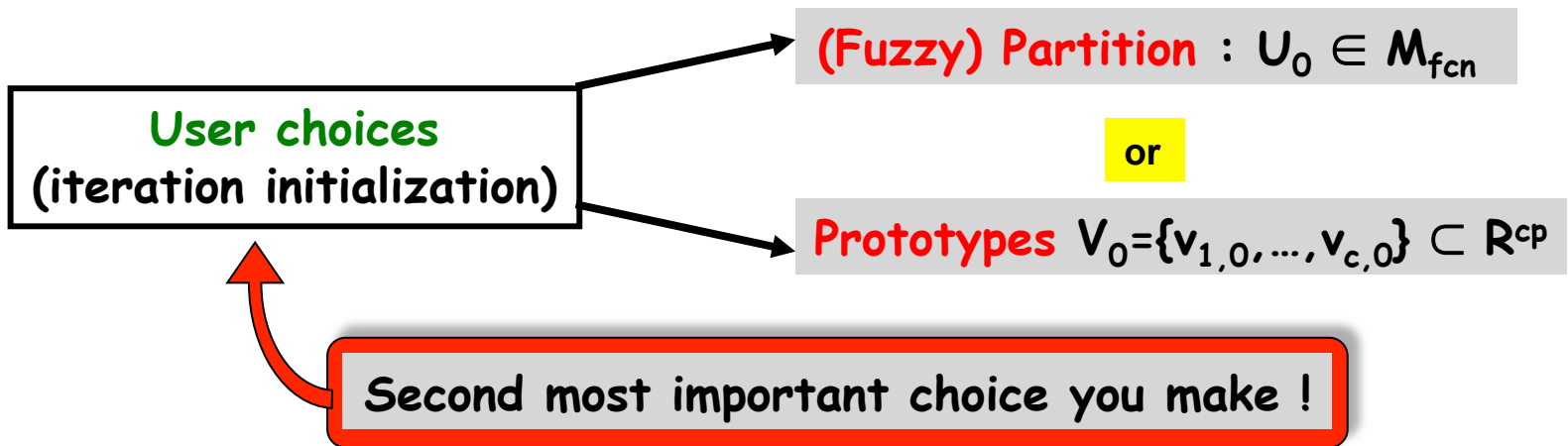
HCM/FCM AO Algorithms



Why? If you are in the wrong M_{fcn} , you *cannot* find the solution



HCM/FCM AO Algorithms



Why? You are in the **Wrong solution !**

True for **ANY** initial guess !

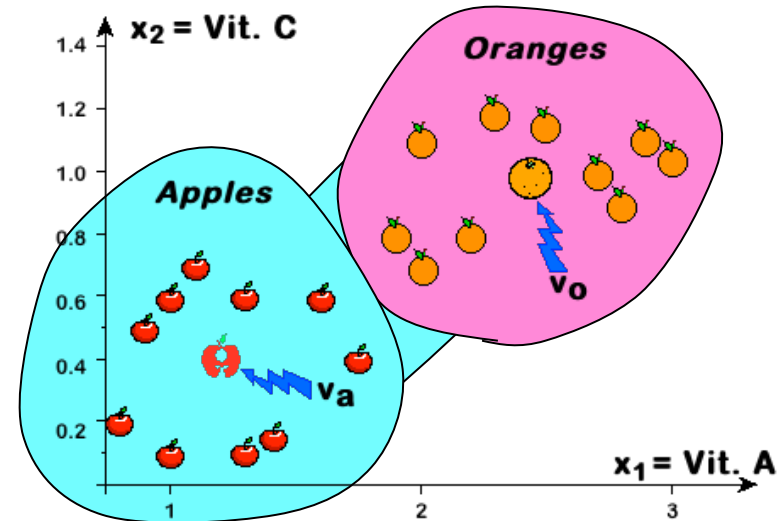
Hence, the algorithms !

Initializing **more than U**

HCM/FCM Algorithms

X = 20 (unlabeled) points

x	x ₁	x ₂	Init		HCM		FCM	
			U ₁₀	U ₂₀	U ₁	U ₂	U ₁	U ₂
1	1.00	0.60	1	0	1	0	0.97	0.03
2	1.75	0.40	1	0	1	0	0.77	0.23
3	1.30	0.10	1	0	1	0	0.96	0.04
4	0.80	0.20	1	0	1	0	0.94	0.06
5	1.10	0.70	1	0	1	0	0.95	0.05
6	1.30	0.60	1	0	1	0	0.97	0.03
7	0.90	0.50	1	0	1	0	0.96	0.04
8	1.60	0.60	1	0	1	0	0.84	0.16
9	1.40	0.15	1	0	1	0	0.95	0.05
10	1.00	0.10	1	0	1	0	0.95	0.05
11	2.00	0.70	1	0	0	1	0.33	0.67
12	2.00	1.10	1	0	0	1	0.19	0.81
13	1.90	0.80	1	0	0	1	0.39	0.59
14	2.20	0.80	1	0	0	1	0.10	0.90
15	2.30	1.20	1	0	0	1	0.04	0.96
16	2.50	1.15	1	0	0	1	0.01	0.99
17	2.70	1.00	0	1	0	1	0.01	0.99
18	2.90	1.10	0	1	0	1	0.05	0.95
19	2.80	0.90	0	1	0	1	0.03	0.97
20	3.00	1.05	0	1	0	1	0.06	0.94
	\bar{V}_1	\bar{V}_2	v _{1,0}	v _{2,0}	v _{1,f}	v _{2,f}	v _{1,f}	v _{2,f}
	1.21	2.43	1.57	2.85	1.21	2.43	1.21	2.50
	0.39	0.98	0.61	1.01	0.39	0.98	0.41	1.00

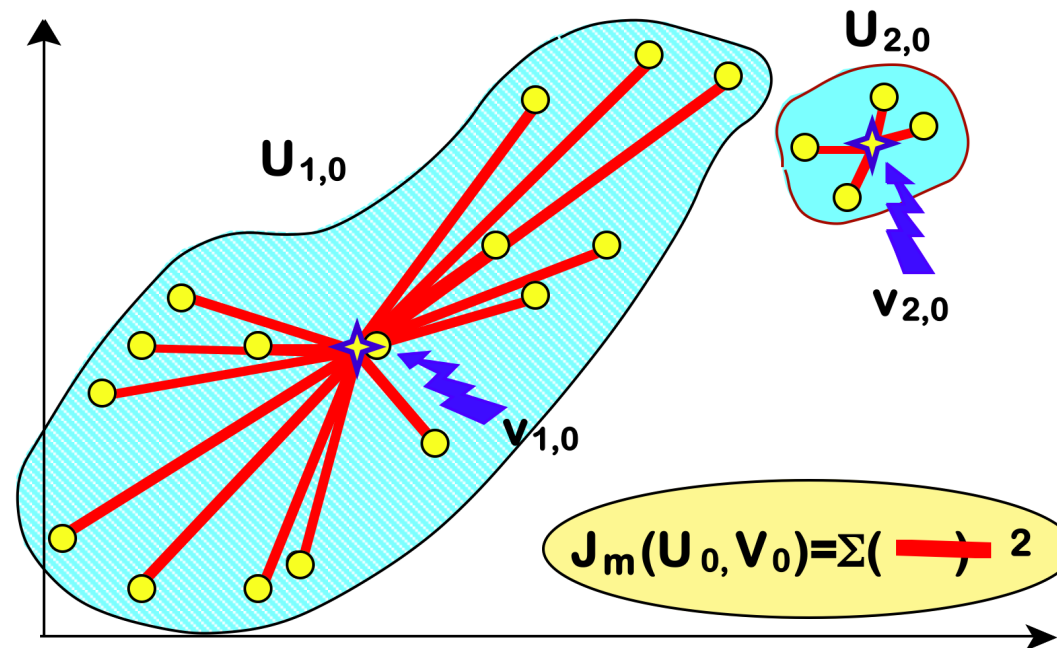


$$H(U_{FCM}) = H_{MM} = U_{HCM}$$

Not always true !

$$V_{AVG} = V_{HCM} \sim V_{FCM}$$

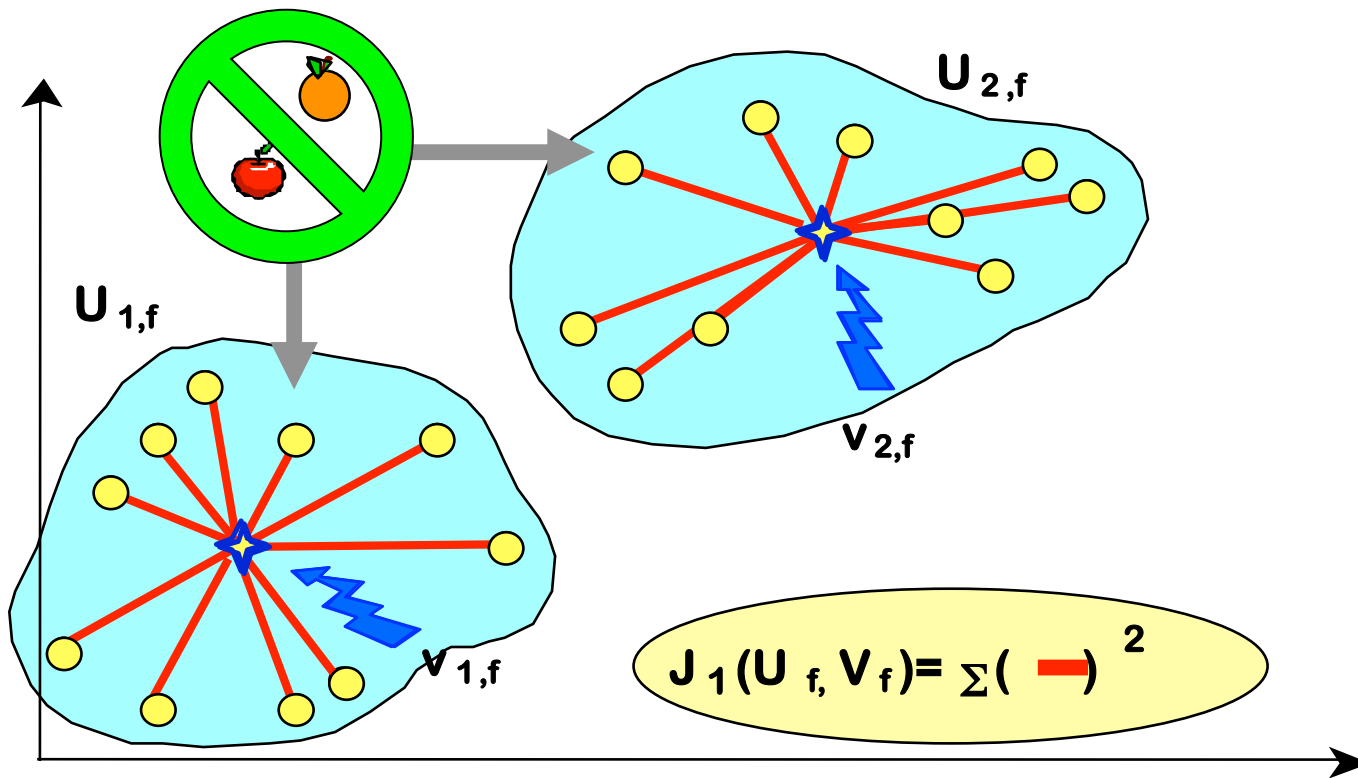
Geometry of the c-Means Models



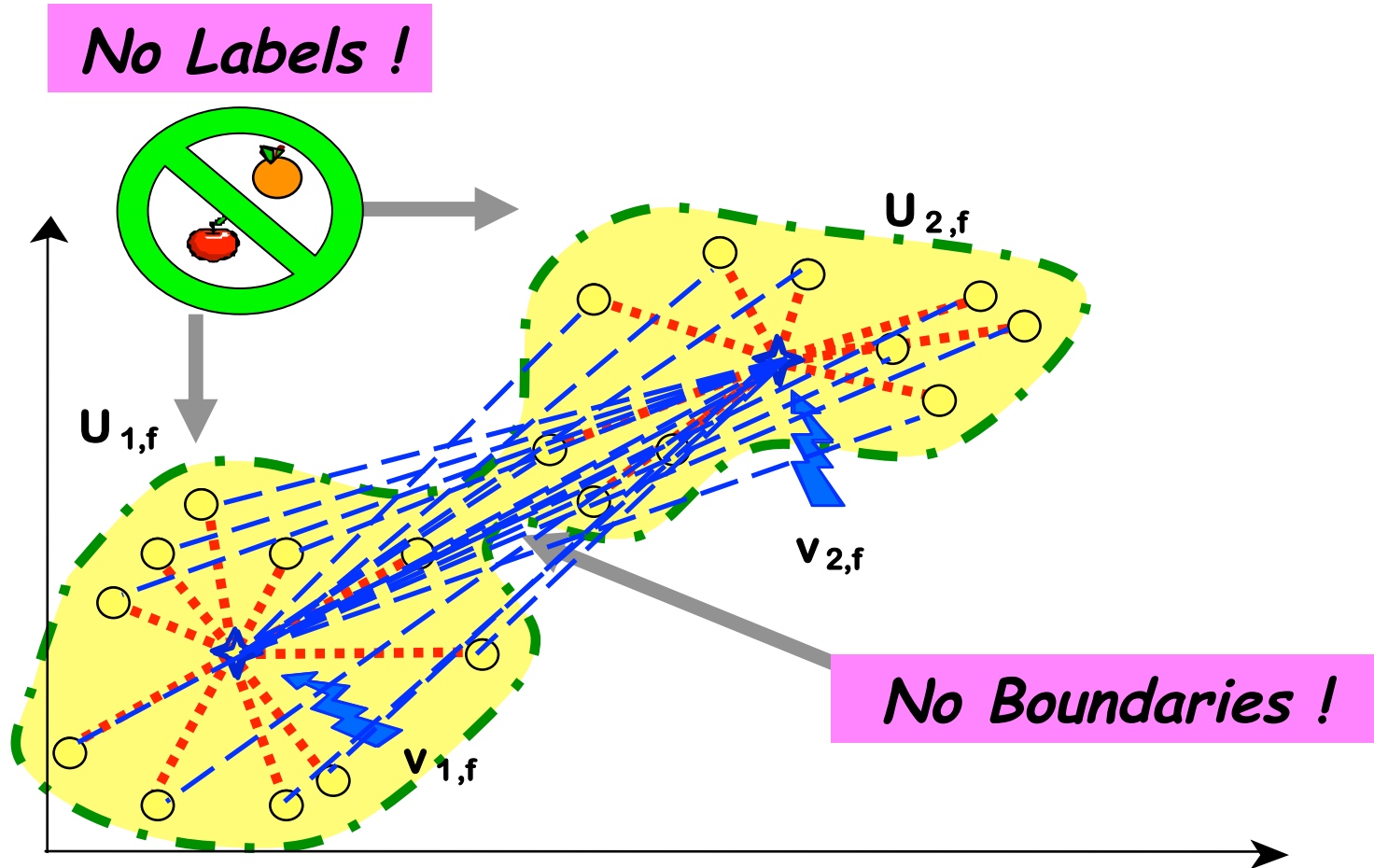
Initial Hard 2-partition for HCM and FCM

Terminal HCM 2-partition - 5 Iterations

No Labels !



Terminal FCM 2-partition - 6 Iterations



Clustering can be used for *Some* Medical Imaging Problems

Basic Image Processing

Segmentation

- A. Unsupervised (Clustering)
- B. Supervised (Classifiers)
- C. Semi-Supervised (A \Rightarrow B)

Medical Databases

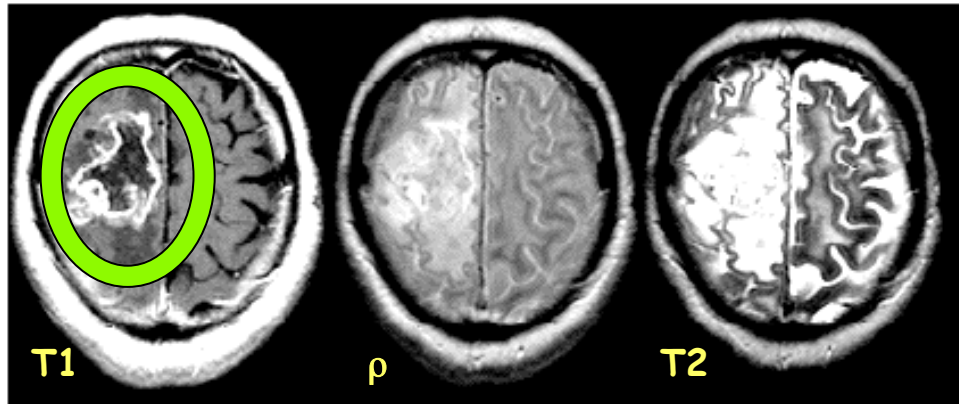
Query Retrieval
Patient Records
Image Matching

3-D diagnostic

Segmentation
Volume Estimation
Visualization
Surgical Planning
Robotic Surgery

Early MRI Segmentation

Bezdek, Hall, Clark, Goldgof, Clarke (1997). Medical image analysis with fuzzy models, *Stat. Meth. in Medical Research*, 6, 191-214.



$$p=3 : x_{ij}=(T1_{ij}, \rho_{ij}, T2_{ij})$$

One slice: $n = 256 \times 256$

$c = 7$ for the k-nn rule



Radiologist GT
(Ground Truth)



Supervised knn

Estimate of Tumor Pixels made
by an optimized k-nn rule
(retraining to best match GT)

Not very good

Early MRI Segmentation

Bezdek, Hall, Clark, Goldgof, Clarke (1997). Medical image analysis with fuzzy models, *Stat. Meth. in Medical Research*, 6, 191-214.



KB mask X^* is made by segmenting X with FCM at $c = 7$

$H(X)$ is a hardened segmentation of X

KB removes CSF, white matter, gray matter, skull, and air tissues from $H(X)$, leaving X^*

Finally, four methods are used to segment X^* at $c = 5$



Radiologist GT
(Ground Truth)

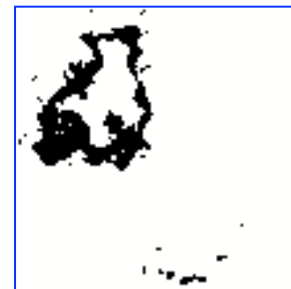


FCM



FCM + VGC

Unsupervised



ssFCM

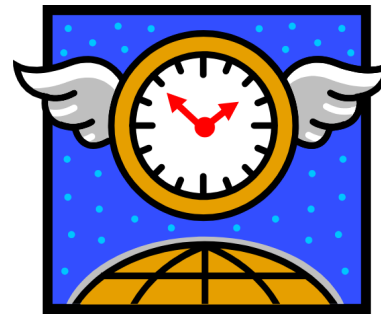


KB

Supervised. Training data
chosen by the KB system

2 important issues when using c-means/AO and/or GMD/AO for image segmentation:

Speed



Scalability





Sockeye Salmon, Seattle, WA

These methods are given for FCM, ... but most of them will also work with HCM, PCM, ssFCM, and GMD/EM

Acceleration of c-Means

(Selected) Acceleration tricks for FCM when X_L is *Loadable*

Change Algorithm

1986

Cannon/Dave/Bezdek propose *approximate* FCM (AFCM)

Change Implementation

1998

Cheng/Goldgof/Hall define multistage init. for FCM (mrFCM)

1994

Kamel/Selim incrementally update (U, V), hidden U implicit

2002

Kolen/Hutcheson study hidden U explicitly and extensively

1999

Altman gives one U update trick, and one with "mini" mrFCM

2003

Borgelt and Kruse accelerate FCM with five NN tricks

2008

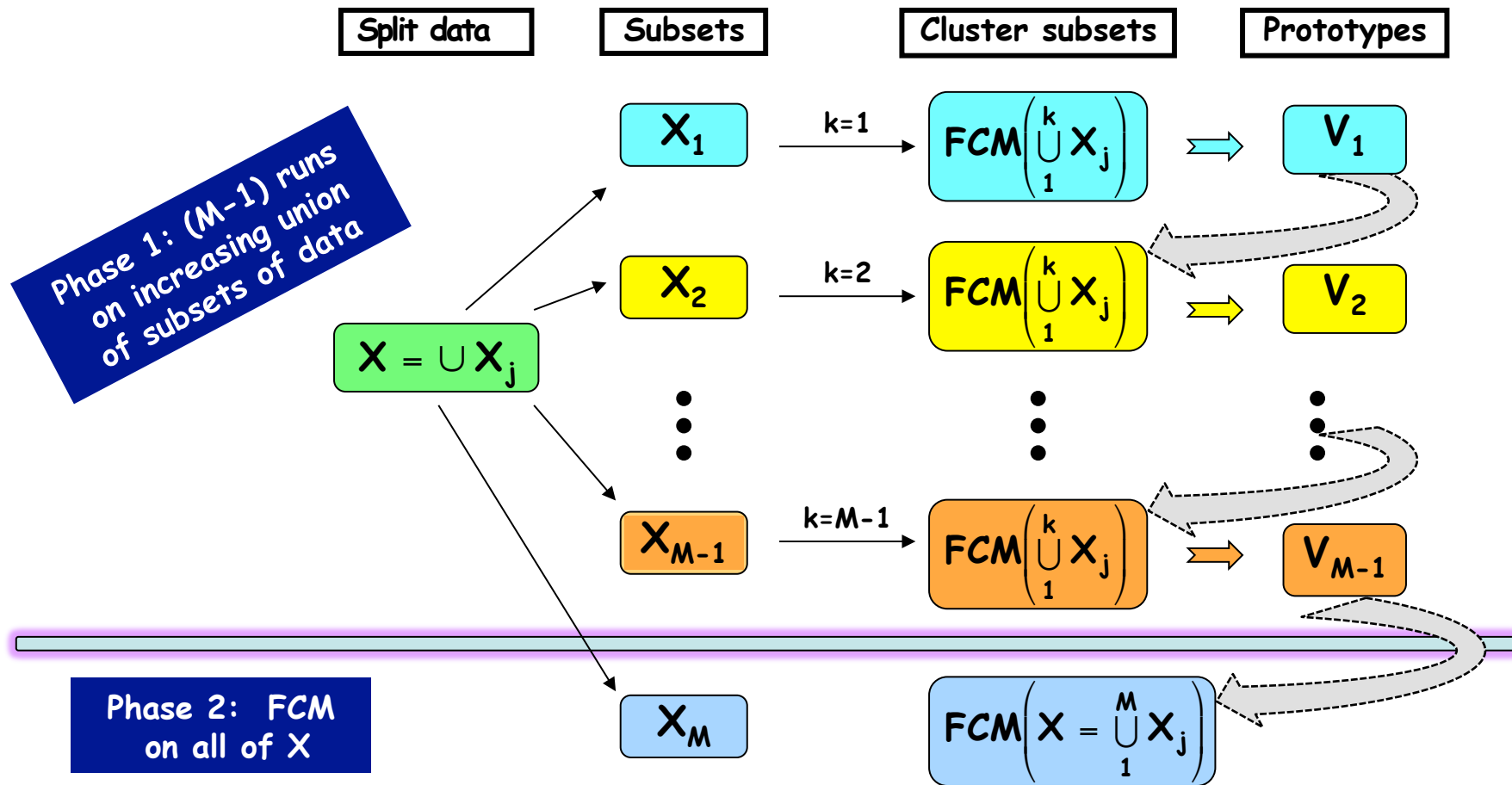
Anderson, Luke and Keller implement FCM on a GPU- *fast!*

Nutshell Comparison of Acceleration Tricks For *Loadable* Data

Method	p	c	n	Data sets	Ave. Speedup
Cannon/DB-AFCM	10	10	0.25 mb	8 bit Landsat	6:1
Kamel/S - $\Delta U, \Delta V$	Varies	Varies	Small	British Towns German Towns Fossil, Wine	1.2 : 1
Cheng/GH-mrFCM	3 6	10 10	0.25 mb 0.55 mb	8 bit MRI 8 bit Landsat	3:1 3:1
Altman 1- ΔU	3	3	1 mb	8 bit Landsat	3:1
Altman 2-mr1FCM	3	3	1 mb	8 bit Landsat	10:1
Kolen/H - No U	9	10	20 mb	8 bit hypoth.	9:1
Borgelt/K NN tricks	8	3	4177	Abalone	2:1
3: Step Width Exp.	9	2	286	Breast Canc	2:1
4: Momentum	4	3	150	Iris	2:1
5: Self Adaptive LR	13	3	178	Wine	2:1
Anderson/LK-GPU	4-32	4-64	64-8192	Rnd Spherical with Rnd means	10-100:1
Eschrich/KHG-brFCM	3 2	10 5	0.25 mb 0.4 mb	12 bit MRIs 8 bit IR images	290:1 60-306:1

mrFCM="multi stage" FCM

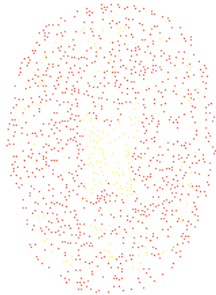
Cheng, T. W., Goldgof, D. B., & Hall, L. O. (1998). Fast fuzzy clustering. *Fuzzy Sets and Systems*, 93, 49-56.



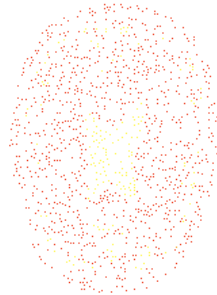
Altman's Method: $M = 2$ (1 stage of preprocessing)

MRI segmented by mrFCM

Cheng, T. W., Goldgof, D. B., & Hall, L. O. (1998). Fast fuzzy clustering. *Fuzzy Sets and Systems*, 93, 49-56.



Stage 1



Stage 2



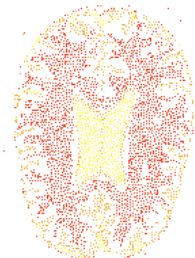
Stage 3



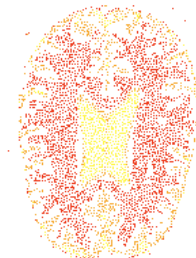
Stage 4



Stage 5



Stage 6



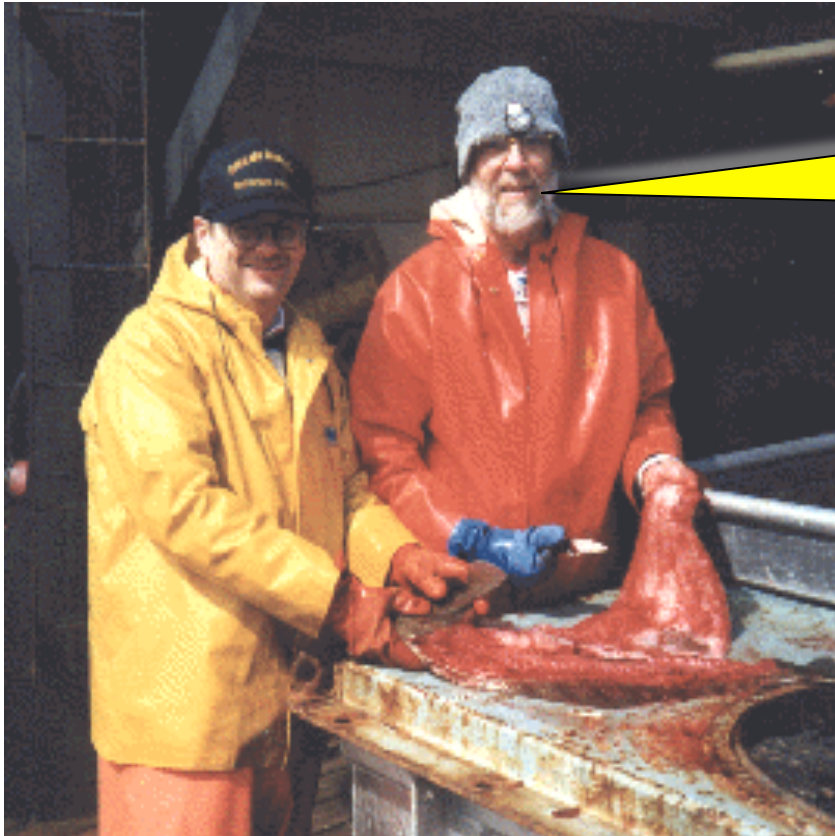
Stage 7



Last Pass Full FCM

Acceleration is due to *improved initialization* of FCM by using final V from stage k as initial V for stage $k+1$

Speedup
~ 3:1



Ling Cod, Alaska, USA

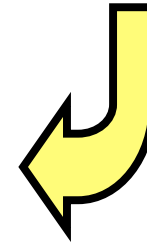
Using FCM/EM
with VL Data

Very Large (VL) Data

How Big **is** (VL) Data ?

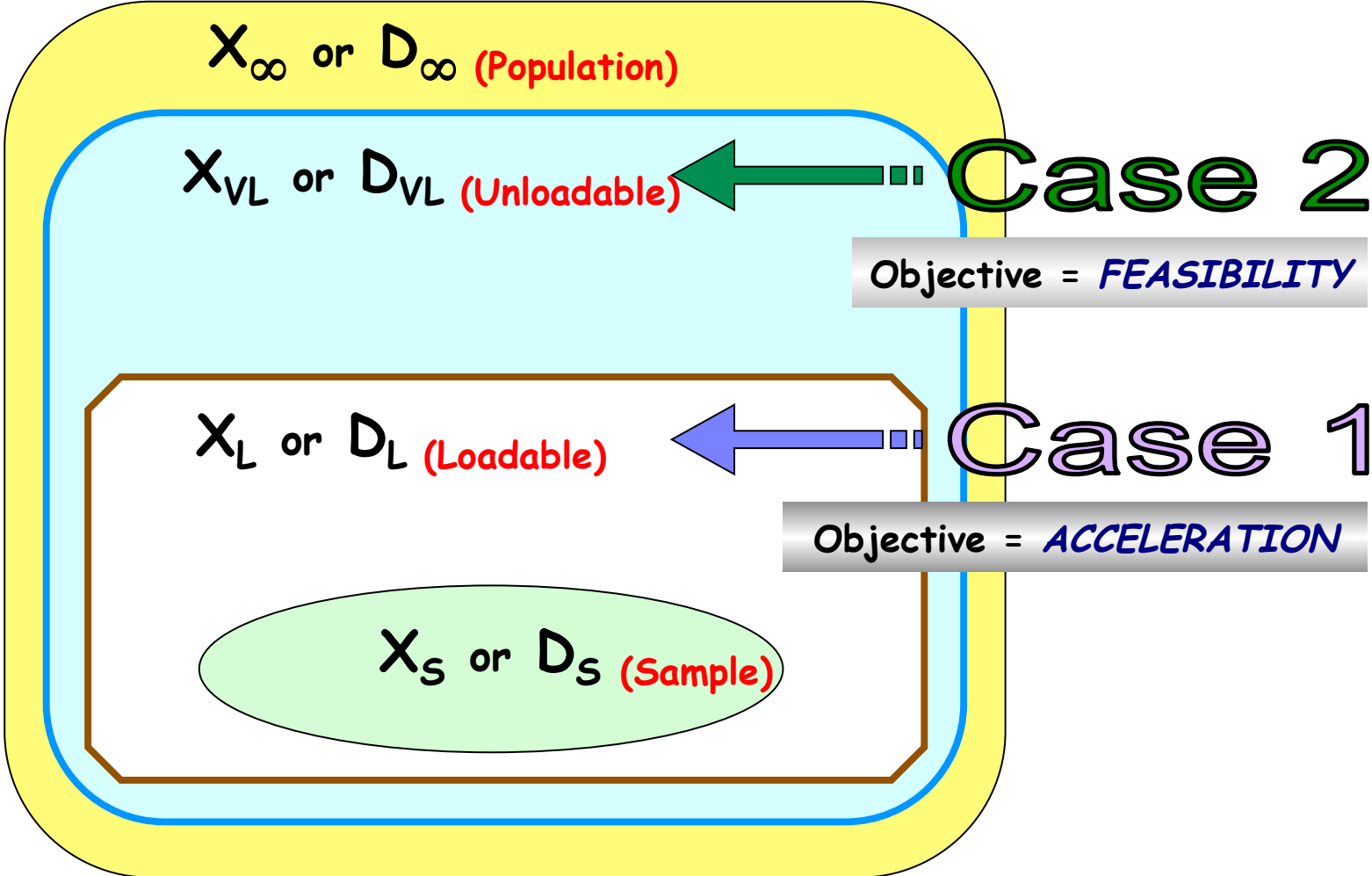
Bytes	"Data Size (1996, Huber)"
10^2	Tiny
10^4	Small
10^6	Mega - medium
10^9	Giga - large
10^{10}	Huge
10^{12}	Tera - Monster
$10^{>12}$	Very Large (VL)

We can't cluster data **this big** (in a single computer) :
VL is "unloadable"... **so** ...



Most VL methods build "cluster-friendly"
(loadable) subsets by **sampling** or **splitting**

2 Sampling sub-cases



Case 1

If X_L or D_L is *Loadable* we can *Quantitatively Compare* Lit-Clusters \leftrightarrow *Approx.* Clusters

Case 2

If X_{VL} or D_{VL} is *Unloadable* Comparison is *impossible*

So ...

case 2 validity rests with "good" case 1 examples

Case 2 VL methods may provide acceleration *and* feasibility

Case 2

If X_{VL} or D_{VL} is *Unloadable ...*

there are 2 basic approaches to scaling up

1

Progressive (or) Random sampling
+ non-iterative extension + completion

2

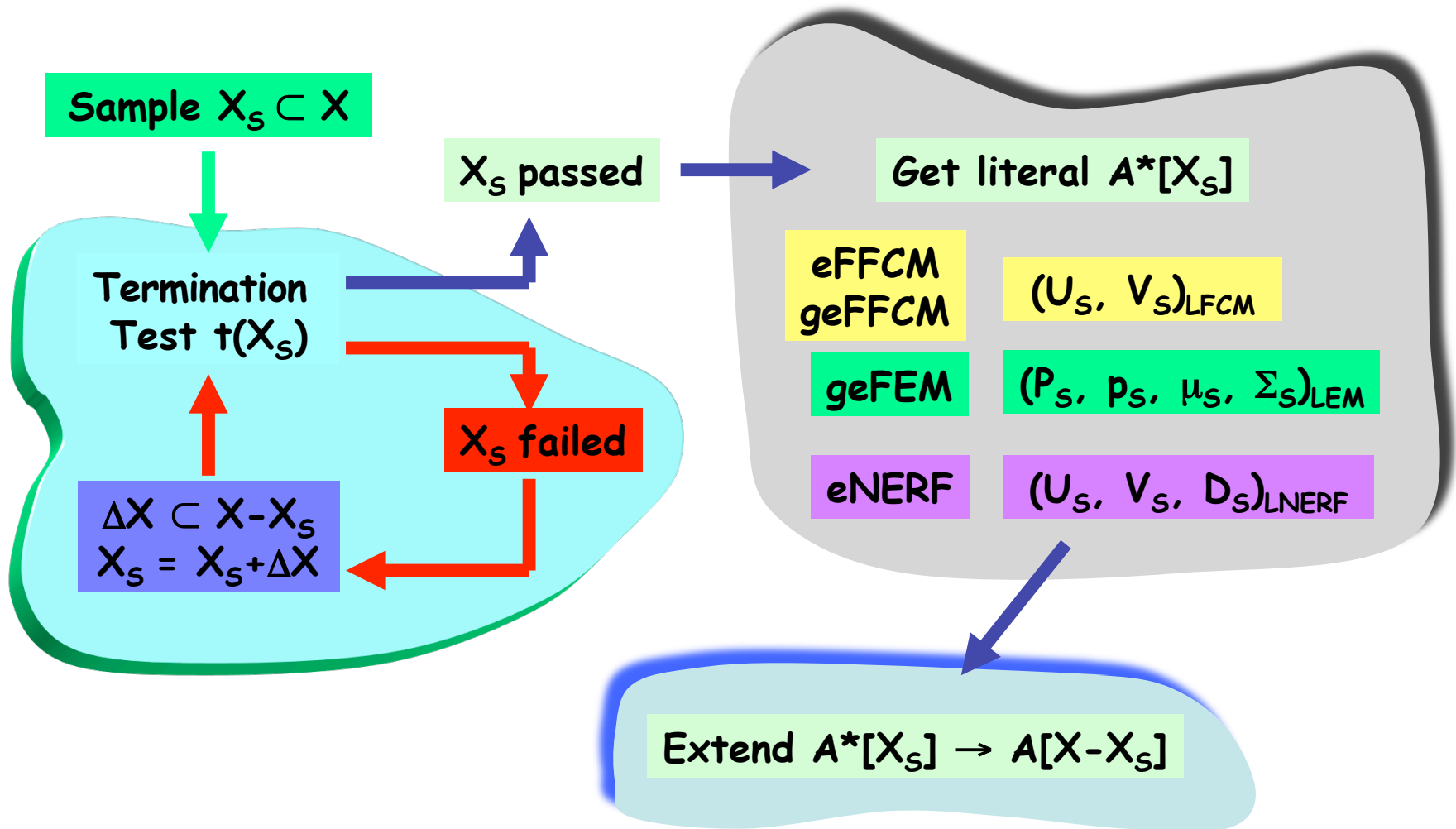
Incremental (aka "ensemble") clustering
using chunks of distributed data

Many of these methods can be used with other pattern recognition algorithms. For clustering, we let ...

$A^* = [U^*, V^*]$ = exact (literal) partition and prototypes

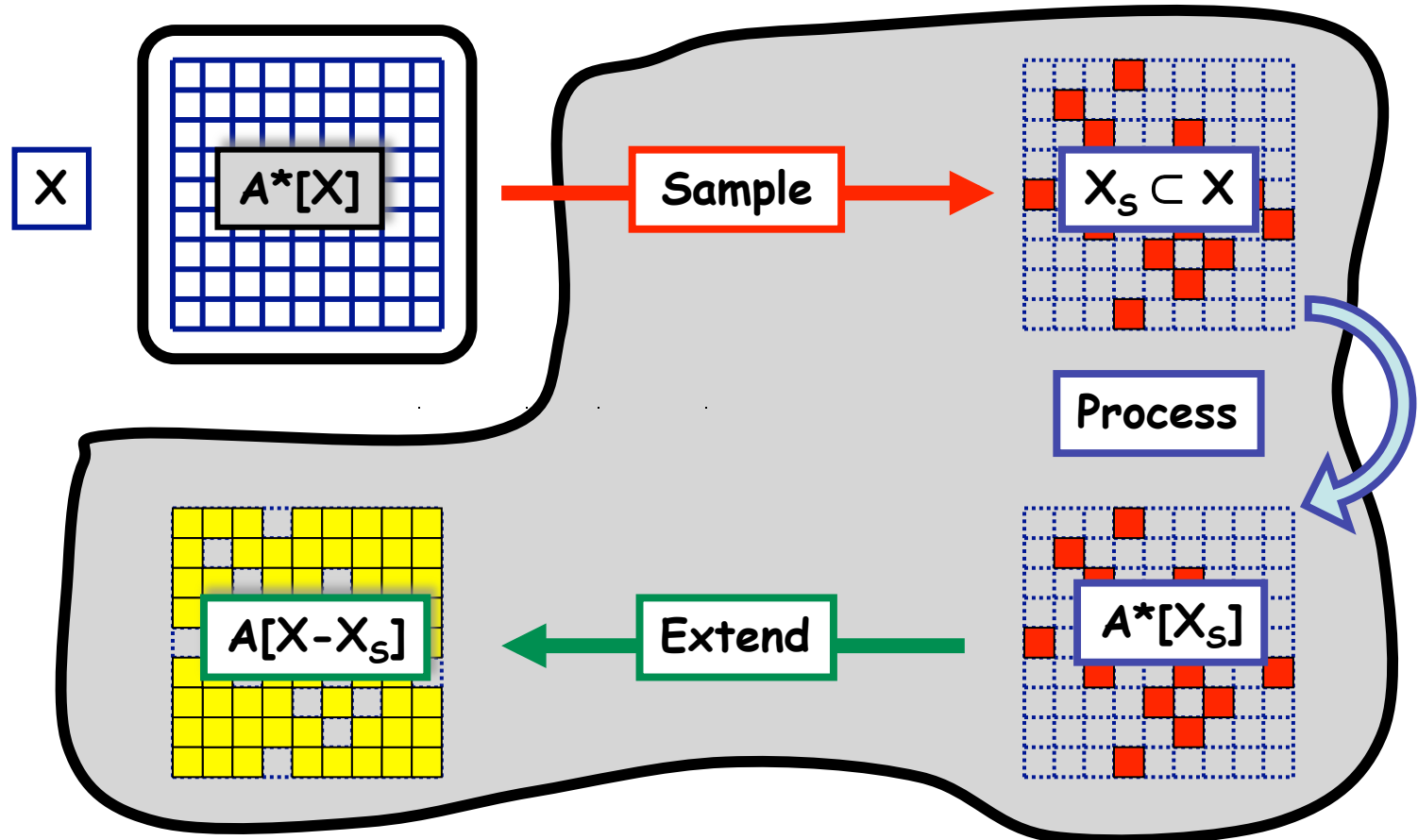
$A = [U, V]$ = (any) approximation to A^* by (1) or (2)

What is *Progressive Sampling* ?



What is *Extension* ?

Algorithm $A : X \subset \mathbb{R}^p \mapsto A[X] \subset \mathbb{R}^q$



Literal $A^*[X]$ \cong Approx. $A(X) = A^*[X_s] \parallel A[X-X_s]$

Sample $X_S \subset X$

(Non-Iterative) Generalized extension of Fuzzy *c*-Means [FCM \rightarrow eFFCM/geFFCM]

Process FCM[X_S]

Extend FCM[X_S] \rightarrow FCM[$X - X_S$]

with prototypes V_S and $x_k \in X - X_S$

$(X - X_S) \ni x_k$

$\{\dots v_{i,S} \dots v_{j,S} \dots\} = V_S$

$$u_{ik} = \left[\sum_{j=1}^c \left(\frac{\|x_k - v_{i,S}\|_A}{\|x_k - v_{j,S}\|_A} \right)^{\frac{2}{m-1}} \right]^{-1} = \varphi(V_S, X - X_S)$$

FONC for U to $\min J_m$

Is a *classifier* on $X - X_S$, trained *w^o* labels on X_S !

5 Progressive Sampling+Extension (pse) Schemes for FCM/EM

2002, eFFCM

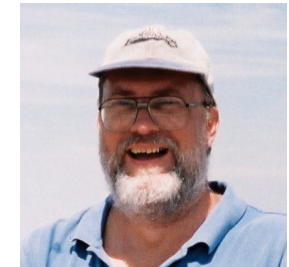
Pal/ Bezdek: Complexity reduction for "large image" processing, *IEEE SMC*, B-32(5).



Nik Pal



Jim Bezdek



Rick Hathaway

2006, geFFCM & geFEM

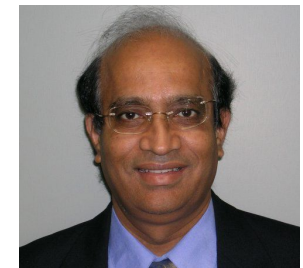
Hathaway/Bezdek: Fuzzy and progressive clustering in VL data sets, *Comp. Stat. And An.*

2006, eNERF

Bezdek/Hathaway/Huband/Leckie/Rao: Approximate clustering in VL relational data, *Int. JIS*, 21.



Jackie Huband



Rao Kotagiri

2008, SS1

Wang/Bezdek/Leckie/Kotagiri: Selective sampling for approx clustering of VL data, *Int. JIS*, 23(3).

2011, SS2

Wang/Leckie/Kotagiri/Bezdek: Approx pairwise clustering for large data sets via sampling plus extension, *Patt. Recog.*, 44.



Liang Wang

For large n, random sampling+extension (rse) may be better !

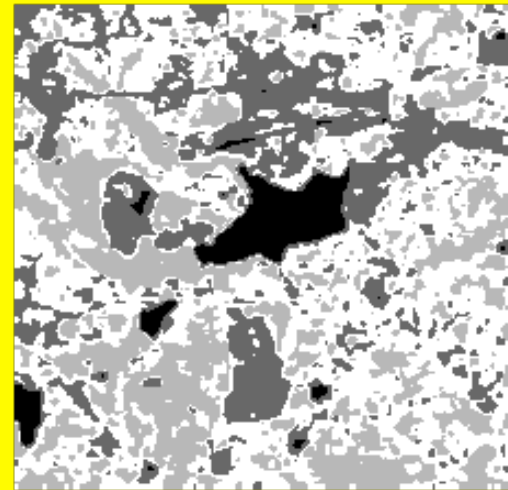
eFFCM on
a Loadable
Image

Indian Satellite
(Landsat Image)

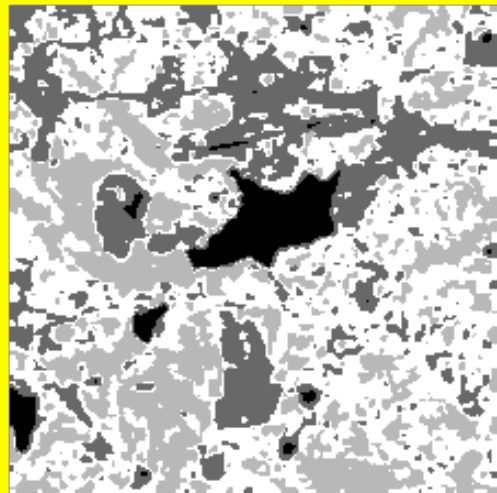
COMPARE
9% vs 100% !



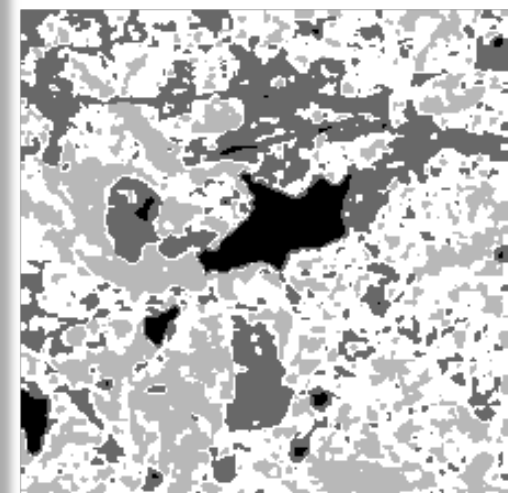
Input image
256x256x256



LFCM 100% of data
mrFCM 100% of data

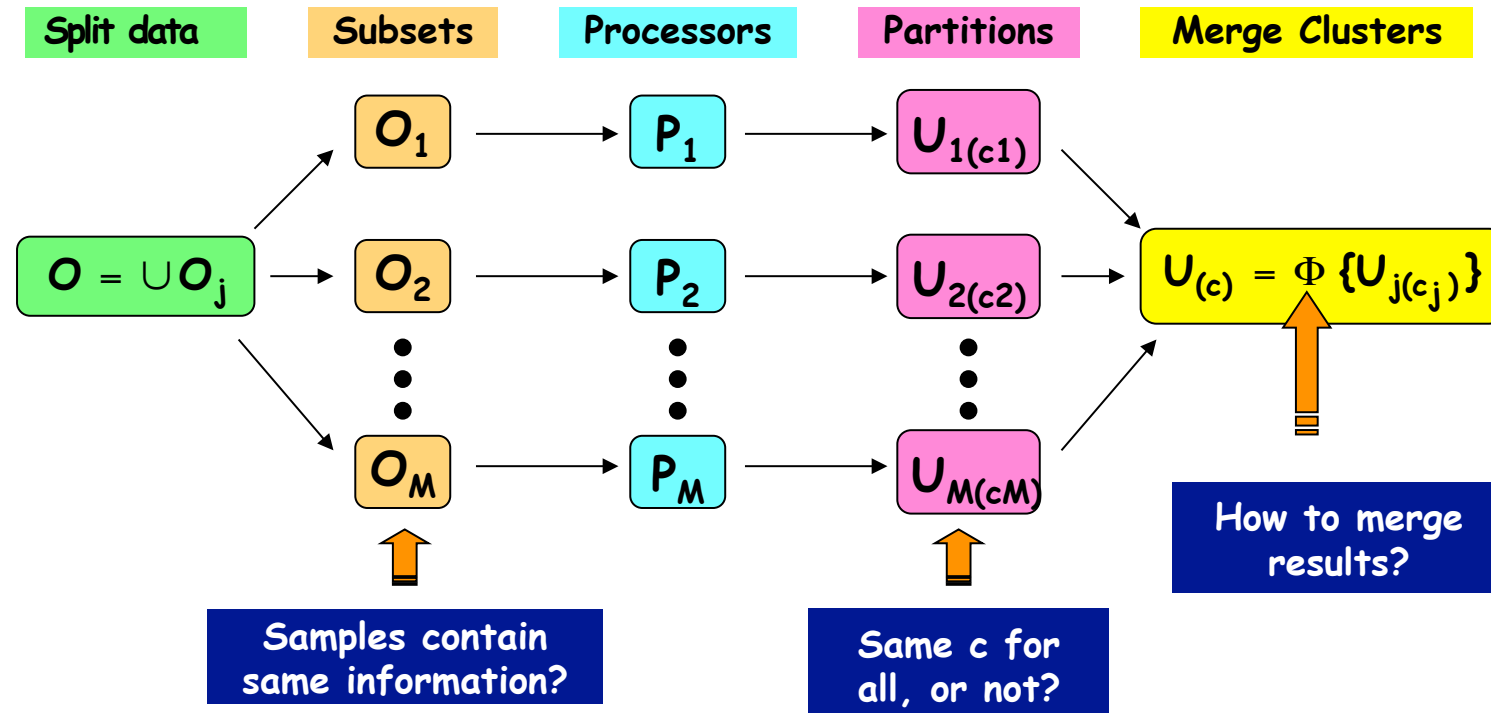


eFFCM
9% of data, div only



eFFCM
29% of data, div & χ^2

Incremental/Distributed Clustering in VL Data



Problems for the Distributed Clustering Approach

Incremental/Distributed Clustering in VL Data

brFCM = "bit reduct." FCM

Eschrich/Ke/Hall/Goldgof (2003, *brFCM*). Fast accurate fuzzy clustering through data reduction. *IEEE TFS*, 11(2), 262-270.

Compression *for image data*, uses wFCM algorithm, (loadable) implementation

spFCM = "single pass" FCM

Hore, Hall, Goldgof (2007, *spFCM*). Single pass fuzzy c-means, Proc. FUZZ-IEEE 2007, 1-7.

Uses wFCM algorithm, partially distributed VL implementation

oFCM = "on line" FCM

Hore/Hall/Goldgof/Gu/Maudsley/Darkazanli (2009, *oFCM*). A scalable framework for segmenting MRIs, *J Signal Proc. Syst.* 54(1-3), 183-203.

Uses wFCM algorithm, fully distributed VL implementation

Weighted FCM = wFCM

wFCM

$$\min_{(U,V)} \left\{ J_{mw}(U, V : X) = \sum_{k=1}^n \sum_{i=1}^c w_k (u_{ik})^m \|x_k - v_i\|_A^2 \right\}$$

Inputs

$X \subset \mathbb{R}^p$ + n fixed weights $\{w_k\} \subset (0, \infty)$

Unknowns

$U \in M_{fcn}$ + $V = \{v_1, \dots, v_c\} \subset \mathbb{R}^{cp}$

Partition
 $U = G(V, X)$

$$u_{ik} = \left[\sum_{j=1}^c \left(d_{ikA} / d_{jkA} \right)^{\frac{2}{m-1}} \right]^{-1}$$

FONCs

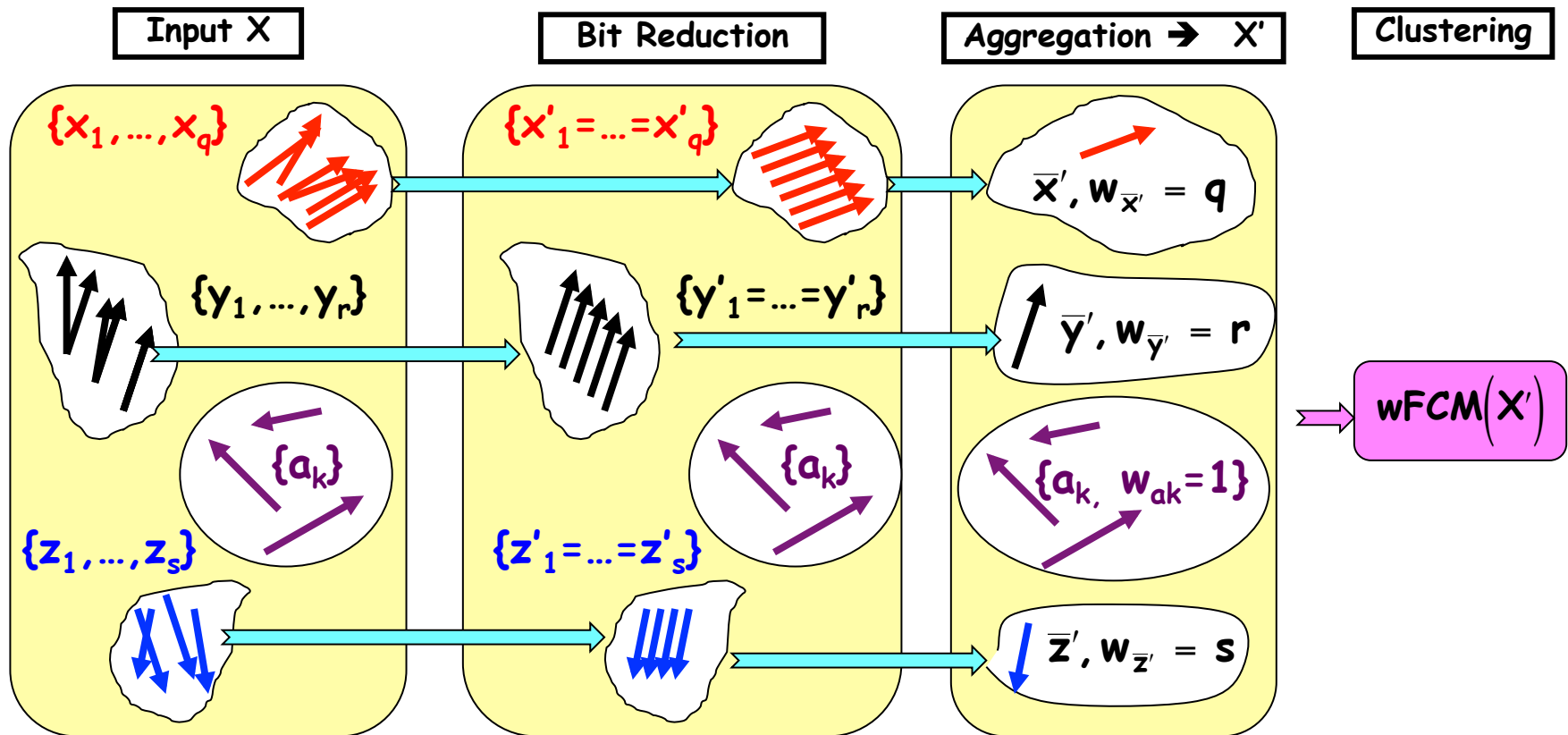
Prototypes
 $V = F(U, X)$

$$v_i = \frac{\sum_{k=1}^n w_i (u_{ik})^m x_k}{\sum_{j=1}^n w_i (u_{ij})^m}$$

ONLY Change
(Bezdek, 1981)

brFCM="bit reduction" FCM

Eschrich/Ke/Hall/Goldgof (2003). Fast accurate fuzzy clustering through data reduction. *IEEE TFS*, 11(2), 262-270.



Not *explicitly* for VL data, X must be fully loadable, but X' may be loadable even if X is unloadable !

spFCM="single pass" and oFCM = "on line" FCM

Split data

$$X = \cup X_j \quad : n = \sum n_j$$

First pass

$$FCM(X_1) = (U, V)$$

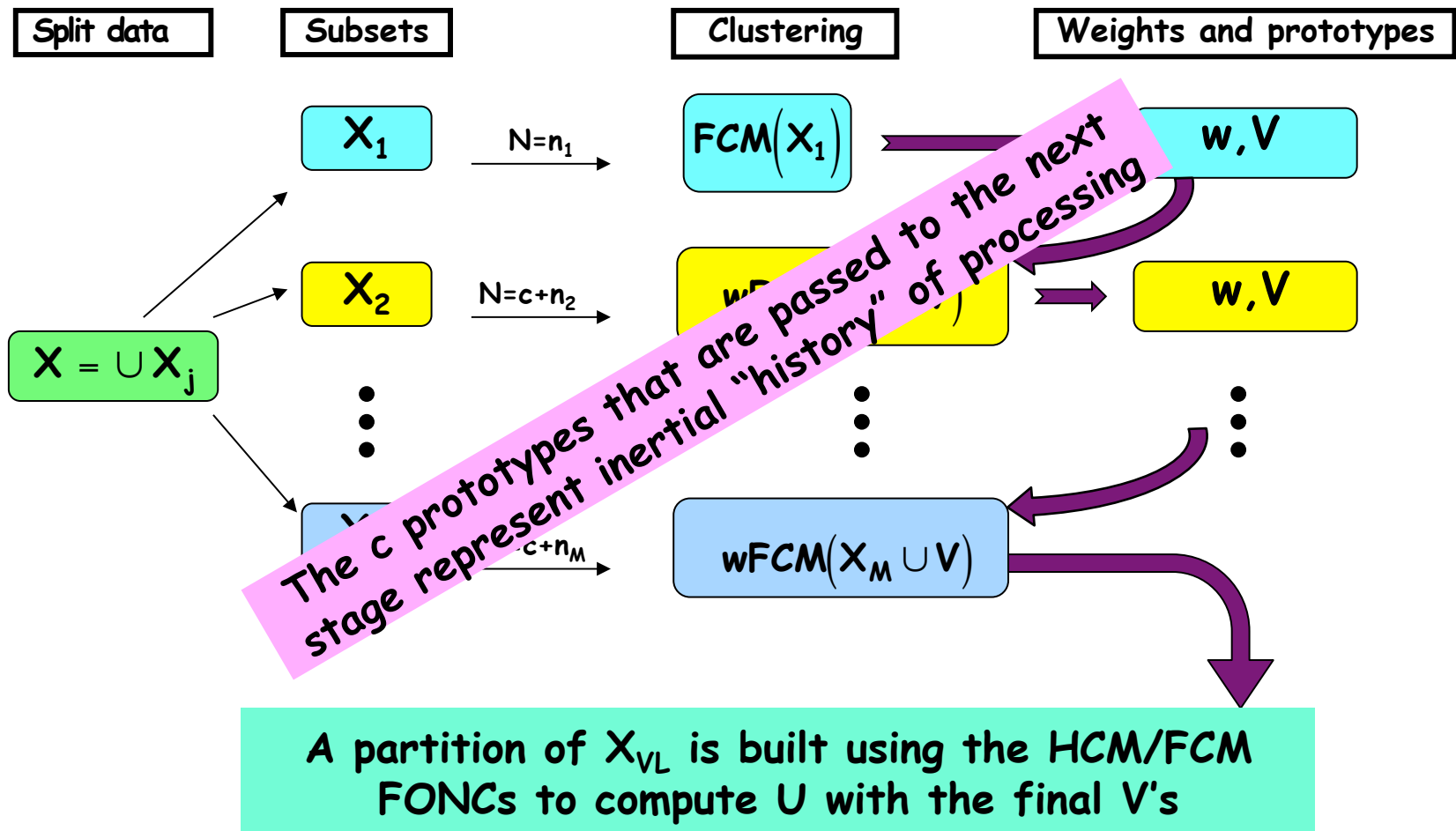
Rowsums of U
after pass $j \geq 1$

$$\omega_i = \sum_{k=1}^{n_j} u_{ik} ; 1 \leq i \leq c$$

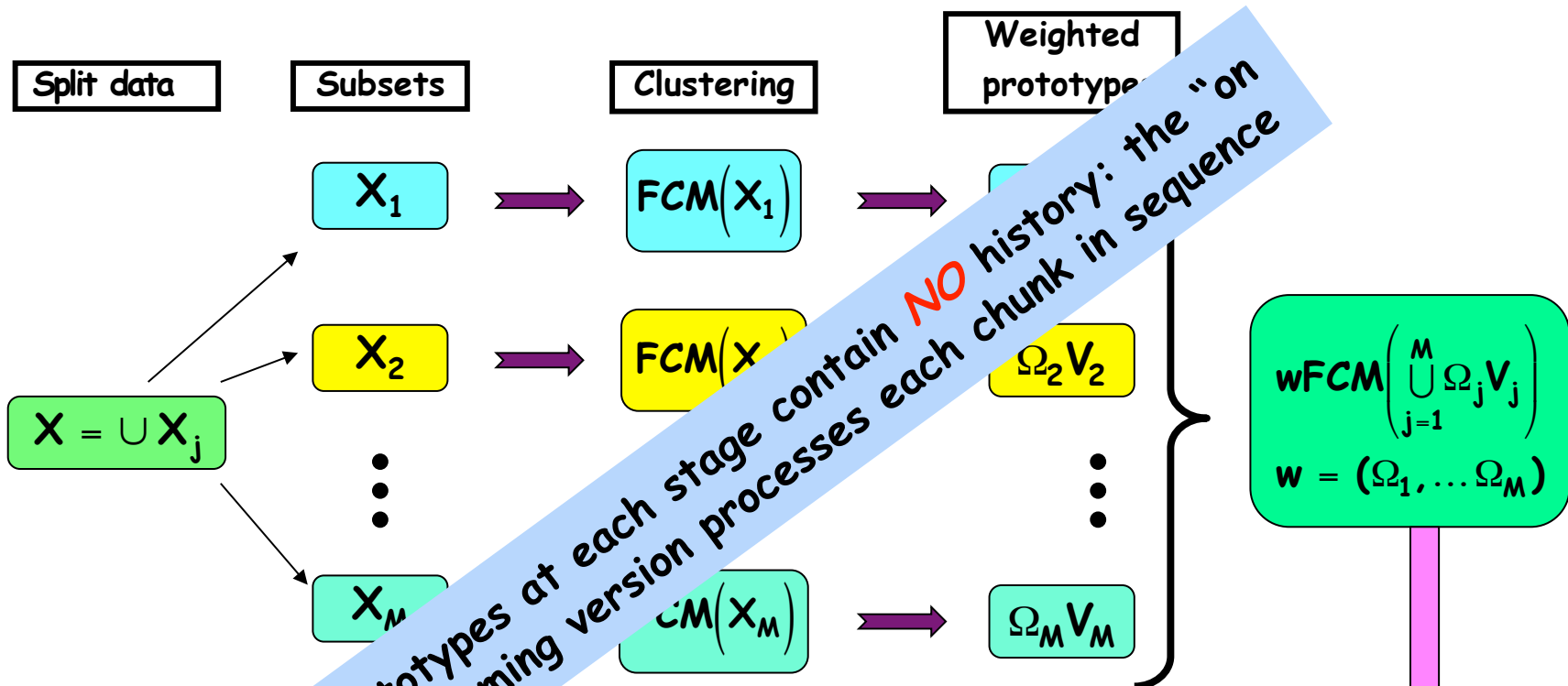
weights for wFCM
before pass $j > 1$

$$w = ([1], \Omega) = \underbrace{(1, 1, \dots, 1)}_{n_j \text{ times}}, \omega_1, \dots, \omega_c$$

Architecture of spFCM : c is chosen and fixed by user



Architecture of oFCM : $c = \text{"max"}$ is same for all blocks

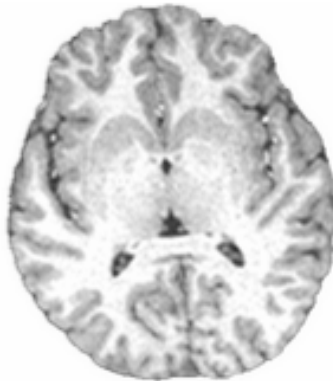


The fuzzy partition of X_{VL} is built using the FCM/FCM FONCs for U with the final V 's

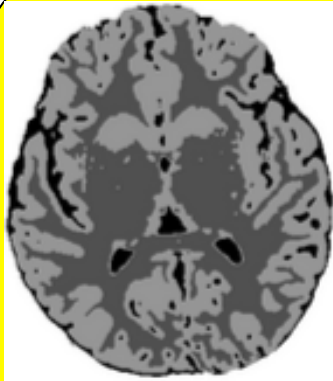
Visual comparison of segmentations by 4 algorithms

FSL is GMD/EM-AO

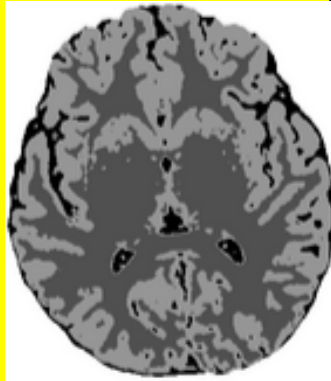
1.5T, #38, MN018



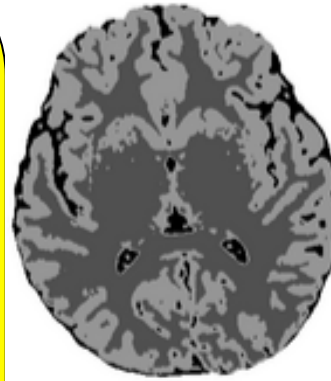
Raw T1



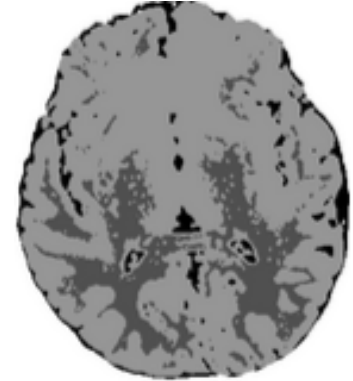
FSL(EM)



oFCM

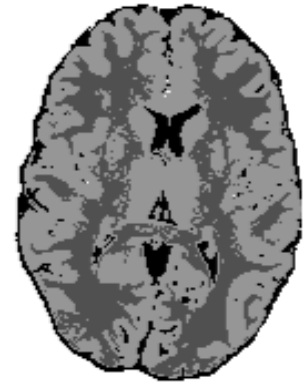
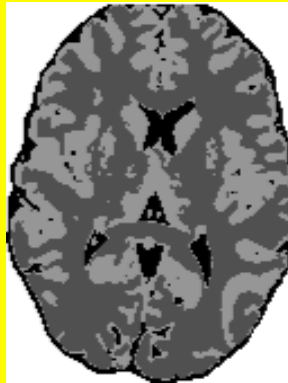


spFCM



SPM(EM)

3T, #70, VOL060



FSL ~ oFCM best segment raw images : SPM worst

Comparing rseFCM, spFCM & oFCM to LFCM

Havens/Bezdek/Hall/Leckie/Palaniswami (2011).
Fuzzy c-Means algorithms for very large data, (in review TKDE).



Havens/Palani/Bezdek

Hall

Leckie

3 Evaluation Criteria

Run time (time LFCM/time VL Approximation)	9 data sets
Adjusted Rand Index ($0 \leq \text{ARI} \leq 1$): 2 cases 1. matches hardened $H(U)$ to GT partition V 2. (implicitly) matches $H(U)$ to $H(U_{\text{LFCM}})$	Labeled data Unlabeled data
Fuzzy ARI ($0 \leq \text{fARI} < 1$) matches approximate fuzzy U to fuzzy U_{LFCM}	6 unlabeled MRI image data sets

All outputs are averages of 21 random samplings/initializations

MRI Image Data $n \sim 4 \times 10^6$, $p=1$ or 3 , $c=3$

0.1% samples

1% samples

10% samples

p=1	SU	ARI*	fARI	SU	ARI*	fARI	SU	ARI*	fARI
rseFCM	22	0.97	0.66	18	0.99	0.66	7	1	0.66
spFCM	13	0.98	0.66	13	0.98	0.66	8	0.98	0.66
oFCM	2	1	0.66	4	1	0.66	4	1	0.66
brFCM	108	1	0.66	50	1	0.66	8	1	0.66

p=3	SU	ARI*	fARI	SU	ARI*	fARI	SU	ARI*	fARI
rseFCM	29	0.97	0.47	24	1	0.47	8	1	0.47
spFCM	18	0.96	0.46	13	0.96	0.46	7	0.96	0.46
oFCM	2	0.78	0.38	2	0.93	0.44	3	1	0.47

ARI* matches $H(U)$ to $H(U_{LFCM})$

Empirical Conclusions: pseFCM & rseFCM

Sampling



Two types (random and progressive).
Easily adaptable for extensions to VL
data with *many* other algorithms

Extension



Non-iterative scaling for *many* algorithms

rseFCM



Superiority to pseFCM increases with n

Faster than spFCM/oFCM for large n

Average speedup of LFCM $\sim 30:1$

Good Approximation to LFCM clusters

Empirical Conclusions: brFCM, spFCM & oFCM

brFCM



Excellent acceleration for many images

Average speedup of brFCM ~ 100:1

spFCM



Retains "history" of clusters as more data chunks are added to processing

oFCM



No history retention; useful for on-line streaming analysis (of chunks)

*Two important topics I did **not** discuss*

1. Cluster Validity

The hardest and most important question !

The "right" value of c in truly unlabeled data?

Easy to see there is no general solution !

2. Clustering in VL (unloadable) data

$\exists \approx E$ solutions for object & relational data

Needed especially in VL data mining

Distributed vs. Progressive Sampling



1980

Este soy yo !



1984



1985



1990

Oye papá, envolverlo

¡Despiértese!



¡Es terminado!

**Ya esta, chicos!
(That's it, folks)**



**Muchas
Gracias !**

Adios!



(U, V) Models

Min VQ
Error

Shannon, C. E. (1948). A mathematical theory of communication, *Bell Systems Tech. Jo.*, 27, 623-656.

HCM-AO
begins

Lloyd, S.P. (1957). Least squares quantization of PCM, [originally an unpublished Bell Labs technical note], reprinted in *IEEE Trans. IT*, 28, March, 1982], 129-137.

ISODATA
+ HCM

Ball, G. and Hall, D. A. (1967). A clustering technique for summarizing multivariate data, *Behav. Sci.*, 12, 153-155.

FCM
($m=2$)

Dunn, J.C. (1974). A Fuzzy Relative of the ISODATA Process and its use in Detecting Compact, Well-Separated Clusters, *Jo. Cybernetics*, 3, 1974, 32-57.

FCM
($m>1$)

Bezdek, J. C. (1973). Pattern Classification with Fuzzy Sets, PhD Thesis, Cornell, Ithaca, NY



(U, V) Models

GK	Gustafson, D. and Kessel, W. Fuzzy Clustering with a Fuzzy Covariance Matrix, in <i>Proc. IEEE CDC</i> , 1978, 761-766.
AO conv begins.	Bezdek, J.C. A Convergence Theorem for the Fuzzy ISODATA Clustering Algorithms, <i>IEEE Trans. PAMI.</i> , PAMI-2(1), 1-8. 1980.
FCL FCV	Bezdek, J.C., Coray, C., Gunderson, R. and Watson, J. Detection and Characterization of Cluster Substructure, I and II, <i>SIAM Jo. of Appl. Math.</i> , 40(2), 1981, 339-372.
AP	Windham, M.P. Geometric Fuzzy Clustering Algorithms, <i>Fuzzy Sets and Systems</i> , 3, 271-280, 1983.
ssFCM	Pedrycz, W. (1985). Algorithms of fuzzy clustering with partial supervision, <i>Pattern Recognition Letters</i> , 3, 13-20.
VQ	Gersho, A. and Gray, R. (1992). <i>Vector Quantization and Signal Compression</i> , Kluwer, Boston.
FC shells	Dave, R. and Bhaswan, K. Adaptive Fuzzy c-Shells Clustering and Detection of Ellipses, <i>IEEE Tran. Neural Networks</i> , 3(5), 1992, 643-662.
PCM	Krishnapuram, R. and Keller, J. A Possibilistic Approach to Clustering, <i>IEEE Trans. Fuzzy Systems</i> , 1(2), 1993, 98-110.
FCRM	Hathaway, R. and Bezdek, J.C. Switching Regression Models and Fuzzy Clustering, <i>IEEE Trans. Fuzzy Systems</i> , 1(3), 1993, 195-204.
NISP	Bezdek, J.C., Hathaway, R. and Pal, N.R. Norm-Induced Shell-Prototype (NISP) Clustering Models, <i>IEEE Trans. Fuzzy Systems</i> , 1995.
VQ	Gray, R. M. and Neuhoff, D. L. (1998). Quantization, <i>IEEE Trans. IT</i> , 44 (6), 2325-2383.
AO Conv.	Bezdek, J. C. and R. J. Hathaway (2003). Convergence of alternating optimization, <i>Neural, Parallel and Scientific Computations</i> , 11, 351-368.



(U, V, +) Models

Mixture of 2
1-D Normals

Pearson, K. (1894). Contributions to the mathematical theory of evolution, *Phil. Trans. Royal Soc. of London*, 185, 71-110.

GMD
(any p)

Wolfe, J. H. (1970). Pattern clustering by multivariate mixture analysis, *Multivariate Behavioral Research*, 5, 329-350.

(Best) EM
Survey

Redner, R.A. and H.F. Walker (1984). Mixture densities, maximum-likelihood, and the EM algorithm, *SIAM Review*, 26, 195-239.

EM
Theory

Hathaway, R. J., Redner, R. and J. C. Bezdek (1987). Estimating the Parameters of Mixture Models with Modal Estimators, *Comm. in Stat. (A)*, 16(9), 1987, 2639-2660.

Mixtures
Text

Titterington, D., Smith, A. and U. Makov (1985). Statistical Analysis of Finite Mixture Distributions, Wiley, NY.

Mixtures
Text

McLachlan, G. and D. Peel (2000). Finite Mixture Models, John Wiley & Sons, New York, NY.