

Gran Telescopio Canarias observations of an overdense region of Lyman α emitters at $z = 6.5$

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ABSTRACT

We present the results of our search near the end of the Reionization Epoch for faint galaxies. This has been done using very deep OSIRIS images obtained at the Gran Telescopio Canarias (GTC). Our observations focus around two close, massive Lyman α emitters (LAEs) at redshift 6.5, discovered in the SXDS field within a large-scale overdense region. The total GTC observing time in three medium band filters (F883w35, F913w25 and F941w33) is over 34 h covering 7.0×8.5 arcmin² (or $\sim 30\,000$ Mpc³ at $z = 6.5$). In addition to the two spectroscopically confirmed LAEs in the field, we have identified 45 other LAE candidates. The preliminary luminosity function derived from our observations, assuming a spectroscopic confirmation success rate of 2/3 as in previous surveys, suggests this area is about 2 times denser than the general field galaxy population at $z = 6.5$. If confirmed spectroscopically, our results will imply the discovery of one of the earliest protoclusters in the Universe, which will evolve to resemble the most massive galaxy clusters today.

Key words: galaxies: distances and redshifts – galaxies: high-redshift – dark ages, reionization, first stars – early Universe – large-scale structure of Universe – cosmology: observations.

1 INTRODUCTION

Observation of high redshift galaxies and galaxy clusters provides basic information about the large-scale structure formation of the Universe. The higher the redshifts of the galaxies, the further we look back in time. Thus, observing the galaxies as far back as the time of their assembly is ideal for studying the early evolution of the Universe. However, detecting these high redshift galaxies is challenging due to their low surface brightness. Nevertheless, novel observation techniques combined with large telescopes and their instruments allow us to detect many high- z galaxies, especially Lyman α (Ly α) emitters (LAEs) and Lyman break galaxies (LBGs)

(e.g. Steidel et al. 1996, 1999; Hu, Cowie & McMahon 1998; Rhoads et al. 2000; Kudritzki et al. 2000; Shapley et al. 2003; Ouchi et al. 2003, 2008, 2010; Giavalisco et al. 2004; Taniguchi et al. 2005; McLure et al. 2010; Bouwens et al. 2010, 2014, 2015; Ellis et al. 2013; Laporte et al. 2014).

LAEs and LBGs are star-forming galaxies with strong Ly α emission, the latter possessing a significantly stronger UV continuum than the former (Haiman & Spaans 1999). These galaxies have long been theorized to be observable up to the Reionization Epoch (Partridge & Peebles 1967; Meier 1976). Studying these galaxies is crucial to understanding the complete picture of the total reionization of the intergalactic medium (IGM) in the early Universe. The luminosity functions of high- z LAEs and LBGs are established to be steeper than the low- z populations (Bouwens et al. 2015; Finkelstein et al. 2015). This leads to the conclusion that the

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majority of the ionizing photons responsible for reionization of the intergalactic neutral hydrogen is produced from the young stellar populations of low-mass star-forming galaxies (e.g. Yan et al. 2010; Dressler et al. 2011, 2015; Henry et al. 2012; Erb 2015).

Additionally, one way to constrain the cosmological parameters, particularly the matter and dark energy density parameters (Ω_M and Ω_Λ), is to trace the large-scale structures formed around regions with enhanced dark matter density. Thus, studying the evolution of the mass function of galaxy clusters is key to understanding the influence of dark matter and dark energy on the history of the Universe (e.g. Vikhlinin et al. 2009; Allen, Evrard & Mantz 2011; Demiański & Doroshkevich 2015; Gonzalez et al. 2015). Both galaxy clusters and groups from low to intermediate redshift ($z < 1$) have been studied extensively (e.g. Ellis et al. 1997; Stanford, Eisenhardt & Dickinson 1998; Carlberg et al. 2001; Blakeslee et al. 2003; Eke et al. 2004; Halliday et al. 2004; Holden et al. 2005; Homeier et al. 2005). High- z galaxy clusters in the process of assembling (protoclusters) are sometimes discovered fortuitously in galaxy surveys (e.g. Steidel et al. 1998; Shimasaku et al. 2003; Ouchi et al. 2005, 2008), or via direct protocluster searches around massive sources, as they tend to be signposts of high matter concentrations (e.g. Le Fevre et al. 1996; Sánchez & González-Serrano 1999, 2002; De Breuck et al. 2002, 2003; Barr et al. 2004; Reuland et al. 2004; Overzier et al. 2006, 2009; Zheng et al. 2006; Venemans et al. 2007).

The first ideal window for observing the formation of large-scale structure and the assembly of galaxy clusters is near the end of the Reionization Epoch. Even though intrinsically fainter than quasars, LAEs are more suitable for probing the faint end of the high redshift star-forming galaxy luminosity function (e.g. Kashikawa et al. 2011). Moreover, the visibilities of LAEs are enhanced, though marginally, when they are in groups or clusters, due to the ionized cavity in the IGM (Miralda-Escudé 1998; Dayal et al. 2009; Dayal & Ferrara 2011; Mortlock et al. 2011; Hutter, Dayal & Müller 2015). Therefore, to search for large-scale structure formation with the highest redshift, we conduct a survey for a protocluster at $z = 6.5$, right before the end of the Reionization Epoch, using massive LAEs as signposts of high matter concentration.

We selected the part of the Subaru/XMM-Newton Deep Survey (SXDS) field (Furusawa et al. 2008) that exhibits signs of an overdensity as it contains two spectroscopically confirmed massive LAEs at redshift ~ 6.5 , which were discovered by Ouchi et al. (2008, 2010). The two LAEs are only ~ 300 kpc apart, assuming they are relaxed, and yield star-formation rates between ~ 25 and $45 M_\odot \text{ yr}^{-1}$ based on the estimation by Ouchi et al. (2010). The typical dark matter halo mass for these massive LAEs is $\sim 2 \times 10^{11} M_\odot$ (e.g. Gawiser et al. 2007; Kovač et al. 2010; Ouchi et al. 2010; Sobacchi & Mesinger 2015). We conducted a photometric selection for the LAE candidates at $z = 6.5$ from this field centred around the two massive LAEs. Our goals are (1) to select LAE candidates photometrically at $z = 6.5$ down to a flux limit fainter than in previous studies and (2) to determine the level of overdensity and signs of protocluster in this sub-field by comparing the LAE luminosity functions.

The clustering properties and the expected final mass of such a galaxy cluster at $z = 0$ produced by the observed overdensity are discussed in detail by Rodríguez Espinosa et al. (in preparation, hereafter Paper II). The result of this work will help to connect how massive galaxy clusters assemble and collapse as seen from the local to the high redshift Universe, such as the massive galaxy cluster at $z \sim 1.19$ discovered by Gonzalez et al. (2015). The magnitudes presented in this work are given in the AB system (Oke

& Gunn 1983). Throughout this paper, we have adopted a Λ CDM cosmology with $\Omega_\Lambda = 0.7$, $\Omega_M = 0.3$ and $h = 0.7$.

2 OBSERVATIONS AND DATA REDUCTION

2.1 Observation strategies

The observations were carried out during semesters 2011B and 2012B on the 10.4-m Gran Telescopio Canarias (GTC) on the summit of La Palma, Canary Islands, Spain. We utilized the Optical System for Imaging and Low-to-intermediate-Resolution Integrated Spectroscopy (OSIRIS) in imaging mode. We applied our three-band photometry and dropout criteria in selecting LAE candidates, rather than the traditional narrow-band search (e.g. Pritchet & Hartwick 1987, 1990; Cowie 1988; Djorgovski & Thompson 1992; Djorgovski, Thompson & Smith 1993; Macchetto et al. 1993; Thompson, Djorgovski & Trauger 1995; Shimasaku et al. 2006; Ouchi et al. 2008, 2010; Matthee et al. 2015; Pénin et al. 2015). Our three-band photometry used the three reddest intermediate-band filters (15–35 nm) from the SHARDS program, which studies red and dead galaxies through their absorption features, covering a contiguous spectral window between 5000 and 9500 Å (Pérez-González et al. 2013).

To prove whether the field has both an overdensity and evidence of a protocluster, we aim to reach a sensitivity down to $F_{\text{Ly}\alpha} \geq 5 \times 10^{-18} \text{ erg s}^{-1} \text{ cm}^{-2}$. This guarantees that we can complete the LAE luminosity function down to $\log(L_{\text{Ly}\alpha}) = 42.4 \text{ erg s}^{-1}$. To reach this level of sensitivity, we observe through the medium-band filters: F883w35, F913w25 and F941w33 (henceforth, F883, F913 and F941), with total exposure time in each filter of 12.25, 10.78 and 11.30 h, respectively. The central pointing of the field is RA = $2^{\text{h}}18^{\text{m}}20^{\text{s}}.350$ and Dec = $-4^{\circ}34'28''.80$. We utilize a six-point dithering pattern, tracing a parallelogram with 8 arcsec base and 16 arcsec height. The exposure times per frame in F883, F913 and F941 were 350, 400 and 300 s, respectively. The details of the observations are also shown in Table 1. The median seeing at ~ 9000 Å and the median airmass during the observing runs was 0.7 arcsec and 1.20, respectively.

2.2 Data reduction processes

To obtain the final reduced images, we conduct the following image reduction routines for the science frames in all bands. First, we obtain the master flat and bias frames for each band by calculating the pixel-to-pixel median bias and dome-flat values. All the science frames are bias-corrected and flat-fielded in a standard manner. The near-infrared night sky is mainly dominated by the glow of OH and O₂ emission lines in the atmosphere (Osterbrock et al. 1996; Rousselot et al. 2000). We subtract the sky background from the science frames by creating a master sky image for each band. To do so, we use Source Extractor (SEXTRACTOR) (Bertin & Arnouts 1996) through the de-biased, flat-fielded science frames to create mask images (objects' flux profiles and positions) of the detected sources in each band. Sky frames are created by subtracting the extracted sources from the science frames. However, these sky frames contain holes from the masking process. We patch up these holes through the following process. We define four sub-areas, $25 \times 25 \text{ pixel}^2$ boxes, located 25 pixels to the left, right, up or down from the pixel that needs to be patched (reference pixel). The 25-pixel clearance from the box is set to ensure that all the pixels in the boxes are the actual sky background and not part of extended objects or saturated stars. Next, we obtain the median and standard deviation of the

Table 1. Details of the observing runs and the quality of the final reduced images in all three bands.

| Band | Central wavelength (Å) | FWHM (Å) | Exposure (s) | PSF (arcsec) | Area (arcmin ²) | mag _{lim} | Date(s) of observations |
|---------|---------------------------|-------------|-----------------|-----------------|--------------------------------|--------------------|--|
| (1) | (2) | (3) | (4) | (5) | (6) | (7) | |
| F883w35 | 8800 | 340 | 44 100 | 0.83 | 59.37 (63.75) | 26.54 (26.87) | 2012 Sept 11–14, 21, 25; Nov 18; Dec 7, 16–17; 2013 Jan 14–17 |
| F913w25 | 9100 | 280 | 38 800 | 0.80 | 59.37 (63.75) | 26.56 (26.80) | 2012 Sept 14–17, 19, 25 |
| F941w33 | 9410 | 340 | 40 700 | 0.82 | 59.37 (63.75) | 25.84 (26.28) | 2011 Oct 26–28; 2012 Dec 20; 2013 Jan 2, 4 |

Notes. (1) Filter name; (2) filter’s central wavelength in angstroms; (3) filter’s full width at half-maximum (FWHM) in angstroms; (4) total exposure time of each band in seconds; (5) FWHM of an unsaturated star; (6) total survey areas in arcmin², after (before) trimming; (7) 3σ limiting magnitudes, auto-magnitudes (2-arcsec aperture).

sky background in each box. The calculated median and standard deviation of the sky background at the reference pixel are the average of the up–down and left–right interpolation results. The re-assigned sky value for each masked pixel is taken from a random value of a Gaussian distribution characterized by the calculated median and standard deviation. Then, the master sky image for each band is obtained from the pixel-to-pixel median of the patched sky frames in each filter.

Before sky subtraction, we scale the master sky image to the same sky level on each science frame. The scaling factors are calculated through the following steps. We calculate the median sky value in each of five 200×200 pixel² boxes, located on the four corners and the centre of each science frame. The large sub-areas are assigned to ensure that the median sky background values calculated within the boxes are not dominated by any object’s flux. Then, we calculate the ratios between those median values and the ones from the corresponding regions in the master sky image. The scaling factor is the median of all the five ratios. After that, we subtract the scaled master sky images from all corresponding science frames.

We used IRAF (Tody 1986, 1993) to shift, align and combine (median) for all science frames for each filter of the first and second chips of OSIRIS, separately. Then, we used IRAF to combine the images from the two chips together and trim out the part of the final reduced images with sufficiently low signal-to-noise, particularly the left edge (~ 0.5 arcmin wide) of the first OSIRIS chip. The shifts used in stacking the science frames in our case are a decimal point of a pixel with linear interpolation in resampling and stacking to minimize the effect of cosmic rays. However, to address concerns that the linear interpolation may not efficiently account for the noise level in the final images, we conducted a simulation on F913 frames to compare two different interpolants: i.e. linear and cubic spline. We found that for the final F913 image with linear interpolation, the rms noise levels are 9.70 ± 0.5 Analogue-to-Digital Units (ADUs) and 12.2 ± 0.7 ADUs in intermediate and high noise regions, respectively. For the final F913 image with a cubic-spline interpolation, the rms noise levels are 10.0 ± 0.8 ADUs and 13.0 ± 1.0 ADUs in intermediate and high noise regions, respectively. In our situation, both interpolation methods yield similar results within the uncertainty. Thus, the linear interpolation in resampling and stacking of science frames that we used is sufficient.

Even though the observations were carried out in the best weather conditions (dark sky, low cloud coverage, low vapour and typical seeing of 0.7 arcsec), the photometric calibration for the zero-point magnitude in each band using standard stars, such as G158-100, G191-B2B, G24-9, Feige34, Feige110 and Ross640, was also done. The 3σ limiting AB magnitudes for the final reduced F883, F913 and F941 images are 26.54, 26.56 and 25.84, respectively.

The quoted 3σ limiting AB magnitudes are SExtractor’s auto-magnitudes. Similarly, the 3σ limiting aperture magnitudes are SExtractor’s aperture magnitudes, which produce a signal-to-noise ratio of 3 within a 2-arcsec aperture. The limiting aperture magnitudes of the F883, F913 and F941 images are 26.87, 26.80 and 26.30, respectively.

3 ANALYSIS

3.1 Source extraction

The final F883, F913 and F941 reduced images were aligned, shifted and trimmed to have the same size and pixel-by-pixel coordinates. First, we run SExtractor on each image individually. To push the detections as deep as possible, we set the detection threshold to be $0.85 \times$ rms above the median background. The minimum area for detection was set to 9 pixel², the minimum contrast for deblending to 10^{-6} , and the spurious cleaning efficiency to 5.0 (1.0 for maximum cleaning efficiency, and 10.0 for no cleaning). The zero-point magnitudes are 32.68, 32.54 and 32.12 for the F883, F913 and F941 images, respectively.

To extract sources with accurate flux measurements, we run SExtractor twice on each image. The first run of SExtractor yields the rms noise background map and sky background for each image. These two images are used for creating a weighting map for each band. The weighting maps are used in the second run of SExtractor for treating the differential gains in different regions of the images. The second SExtractor run on each image also provides a mask image. The mask images consist of flux profiles and positions of the objects without any background. The histograms of sources detected in all three images (F883, F913 and F941) by SExtractor, using the SExtractor parameters mentioned, are shown in Fig. 1. These preliminary extractions are for image quality assessment, and not for the selection of LAE candidates.

Next, we compute the contamination levels of all bands. We use the mask images created from SExtractor to zero-out the fluxes of the objects in each image. Then, we create negative images from the masked science images, by multiplying the arrays by -1 . The objects in the negative frames are the local minima associated with noise spikes, fringe patterns and dithering pattern holes (spurious sources) in the science frames. Then, we run the SExtractor on the negative images with the same set of parameters as used for the real detection. Statistically, the objects detected in the negative frames represent the spurious detections in the real science images. Nevertheless, dithering holes appear only in the negative images and should not be included as sources of contamination in the positive images. Thus, we use the dithering pattern as a map to identify these

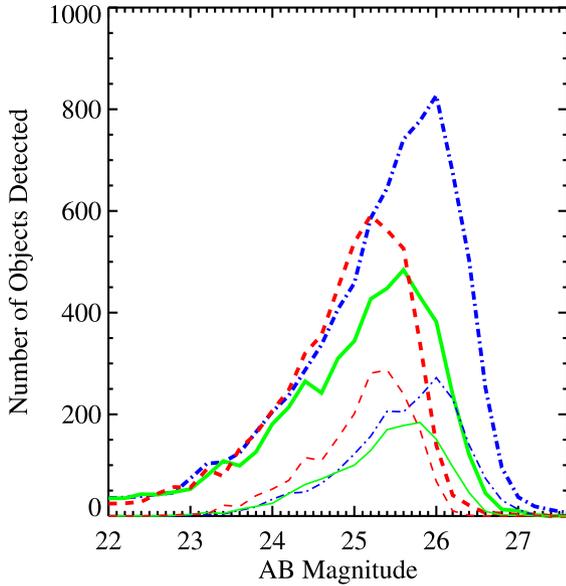


Figure 1. Magnitude histogram of objects detected in each band, with a bin size of 0.2 mag. The thicker blue dash-dotted, green solid and red dashed lines represent the numbers of real detections in the F883, F913 and F941 bands, respectively. The thinner blue dash-dotted, green solid and red dashed lines represent the numbers of spurious detections from the negative F883, F913 and F941 images, respectively.

negative objects and exclude them from the spurious sources. Then, by calculating the ratio between the numbers of spurious and real detections in each band for all magnitude bins, we can determine the level of contamination.

Then, the completeness levels are obtained in the following manner. We use `IRAF` to generate objects with compact almost star-like flux profiles (stars). The simulated stars are injected into each image randomly, with a uniform magnitude and spatial distribution (2000 stars per frame, ranging from 22 to 28 mag). The compactness and flux profile of a simulated star are adequate to mimic the appearance of LAEs at high redshift. The completeness levels are derived from the ratio between the numbers of objects recovered by `SEXTRACTOR` at the same positions of the injected stars with deviations in magnitude within ± 0.5 mag. These contamination and completeness levels are used for depth and quality assessment, and are shown as functions of AB magnitude (`SEXTRACTOR`'s auto-magnitude) in Fig. 2.

Fig. 1 reveals that the F883 image contains the largest number of objects, both from real and spurious detections. The F913 image contains the smallest number of objects of both types. The estimated total numbers of real detections in the F883, F913 and F941 images are 9000, 5000 and 7000, respectively; while, the estimated numbers of spurious detections are 2000, 1500 and 2400, respectively. The level of spurious detections in the F941 image is the highest among all bands for magnitude brighter than 25.4 mag. This suggests that F941 is prone to spurious sources and lack of depth. The levels of completeness and spurious contamination in all three bands shown in Fig. 2 reflect the relative quality of the images. The quality of the F913 image is the best among all bands, both in terms of depth (completeness level is 50 per cent at 25.8 mag) and noise level (contamination level < 20 per cent at 25.8 mag), whereas the quality of the F941 image is the worst among all bands, both in terms of depth (completeness level is 50 per cent at 25.4 mag) and noise level (maximum contamination level ~ 27 per cent at 25.4 mag). For

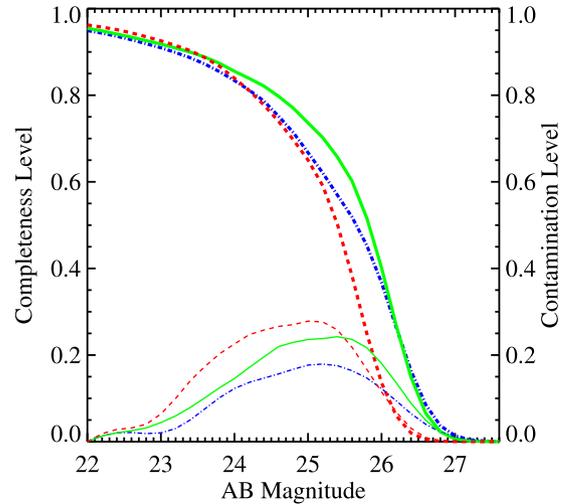


Figure 2. Completeness and contamination levels as a function of AB magnitude for all bands. The thicker blue dash-dotted, green solid and red dashed lines represent completeness levels of the F883, F913 and F941 bands, respectively. The corresponding thinner lines represent contamination levels.

F941, the peak number of sources detected is at 25.2 mag, which is 0.4 and 0.8 mag brighter than for the F913 and F883 images, respectively. As stated in Table 1, the 3σ limiting magnitude of the F941 image is the brightest among all bands. However, at the 3σ limiting magnitude, the completeness levels are 20 per cent, while the contamination levels are about 15 per cent for all three bands. These differences in image quality affect our selection criteria for the LAE candidates, which will be discussed in detail in the next section.

To extract LAE candidates using `SEXTRACTOR` in its dual-image mode, we use the F913 band as the reference image, which is the band covering the redshifted position of Ly α emission at $z = 6.5$. The positions of objects detected in the F913 band are applied to measure their fluxes and other parameters in the two adjacent bands (i.e. F883 and F941). This procedure is the most robust for targeting LAEs at $z = 6.5$. The aperture diameter used for measuring fluxes and aperture magnitude is 2 arcsec.

We define the detection threshold in each band to be at 2σ magnitudes (i.e. the magnitude that yields signal-to-noise of 2). Objects detected at flux levels lower than the 2σ limit are assigned 2σ magnitudes of the corresponding bands. We adopt `SEXTRACTOR`'s auto-magnitudes to be the total AB magnitudes of the objects. However, when calculating colours, we use `SEXTRACTOR`'s aperture magnitudes for better assessment of pixel-to-pixel colours. The statistics of the objects detected by `SEXTRACTOR` in dual-image mode using the F913 image as the reference are shown in Fig. 3. From left to right, the upper, middle and lower panels of the figure show the area histograms, magnitude histograms and area–magnitude diagrams for the F883, F913 and F941 bands, respectively.

Many studies have shown that LAEs are compact objects with half-light radii of the order of a few kiloparsecs (e.g. Venemans et al. 2005; Finkelstein et al. 2011; Gronwall et al. 2011). Thus, we pay attention to the small area and faint tail of the area–magnitude diagram in Fig. 3. However, we cannot ignore the other region of the area–magnitude diagram, since high redshift LAEs may come in different sizes and luminosities, as shown in some previous studies (e.g. Ota et al. 2008; Ouchi et al. 2008, 2009, 2010).

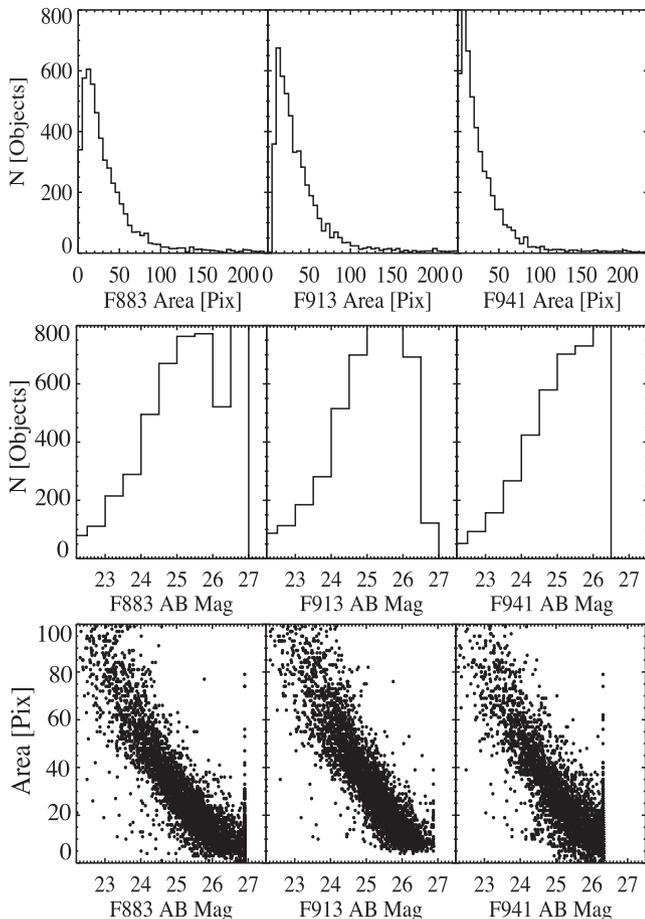


Figure 3. Statistics of objects detected using SExtractor with the optimized parameters in all three bands. The left, middle and right panels represent the SExtractor isophotal area and auto-magnitudes of the F883, F913 and F941 images, respectively. The abscissa in the top panel represents the isophotal area of the sources. The abscissa in the middle and bottom panels represents the auto-magnitudes, assigned from SExtractor then reassigned for all non-detections to be 2σ magnitude limits.

3.2 Candidate selection

The first step in the candidate selection process is to apply colour and magnitude criteria from the expected colour of LAEs at $z = 6.5$. LAEs are categorized as exhibiting a strong and well-distinguished Ly α emission line at 1216 Å in the rest frame. The region blueward of the Ly α line is obscured by neutral hydrogen gas from the lower- z IGM. Redward of the emission line, one can find a strong UV continuum for cousins of LAEs with a higher star-formation rate, that is LBGs.

Thus, to select LAE candidates, we look for a clear detection in the F913 band, where the redshifted Ly α emission line should be, and a marginal to non-detection in the F883 and F941 bands. However, the depth of the F941 band (aiming for a UV continuum at $z = 6.5$) is about 0.5 mag shallower than the other two bands, thus diminishing our ability to distinguish between LAEs and LBGs, since the latter exhibit a stronger UV continuum than the former. Due to this, we group all the possible LAEs and LBGs in the same list. Then, the detection criteria are revised to optimize the detection of both the LAE candidates and the undistinguishable LBG candidates by allowing some detection in the F941 band up to the same signal-to-noise level as in the F913 band. However, these colour criteria may be fairly shallow and could allow some

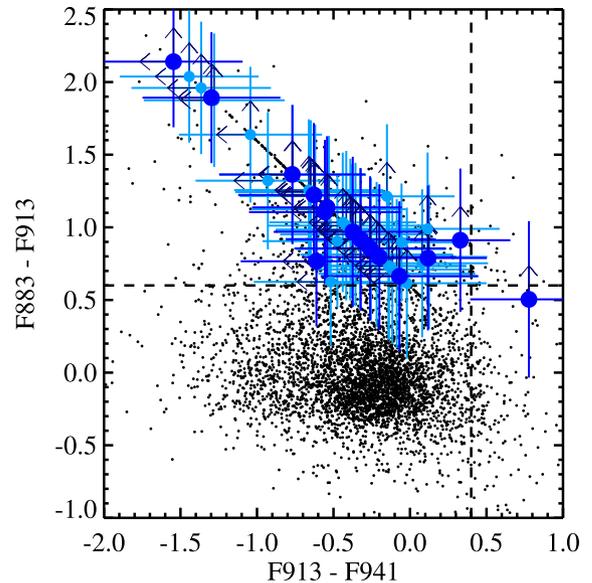


Figure 4. Colour–colour diagram. The objects’ colours are calculated using the aperture magnitudes to give the most accurate pixel-to-pixel colours of the objects. We use an aperture size of 2 arcsec to measure the aperture magnitudes of the objects. The ordinate and abscissa of the diagram represent the F883 – F913 colour and the F913 – F941 colour. The blue and sky-blue circles represent class I and II LAE candidates, respectively (classification of LAE candidates is discussed at the end of Section 3.2).

interlopers with a pure red-rising power-law spectral energy distribution (SED), such as high- z quasars (e.g. Vanden Berk et al. 2001; Fan et al. 2004), to be detected as well. Therefore, we conduct a simulation to find the colour cuts that could minimize the contamination from such interlopers. The slope of the power-law SED has to be such that it is steep enough to appear red on the F883 – F913 colour and without significant detection on the F883 band, but, at the same time, shallow enough to appear blue on the F913 – F941 colour. It turns out that adjusting the colour criteria to be

$$F913(\text{mag} - \text{auto}) \leq F913(3\sigma \text{ mag} - \text{auto}), \quad (1)$$

$$F883 - F913 \geq 0.60 \quad (2)$$

and

$$F913 - F941 \leq 0.40 \quad (3)$$

would prevent any object exhibiting a power-law SED with slope $\alpha \geq 0.0$ from being detected.

The diagram in Fig. 4 shows that the colour criteria are robust and effective for selecting LAEs at $z = 6.5$. In colour–colour space, the diagram shows that the positions of LAE candidates are clearly separated from the majority of the sources, which have both F883 – F913 and F913 – F941 values clumped around zero. The only LAE candidate that does not meet the criteria but is included in the catalogue is LAE-C-1-02. It is shown in Fig. 4 at F883 – F913 = 0.5 and F913 – F941 = 0.8. We include this candidate in the catalogue because it is a spectroscopically confirmed LAE at $z = 6.5$, NB921-N-77765 (Ouchi et al. 2010). This particular candidate fails the colour criteria because of the effects of spatially dependent contamination and completeness levels, which we justify in Section 3.3.

The colour–magnitude diagrams are illustrated in Fig. 5. The F883 – F913 colours of the LAE candidates are beyond the 2σ

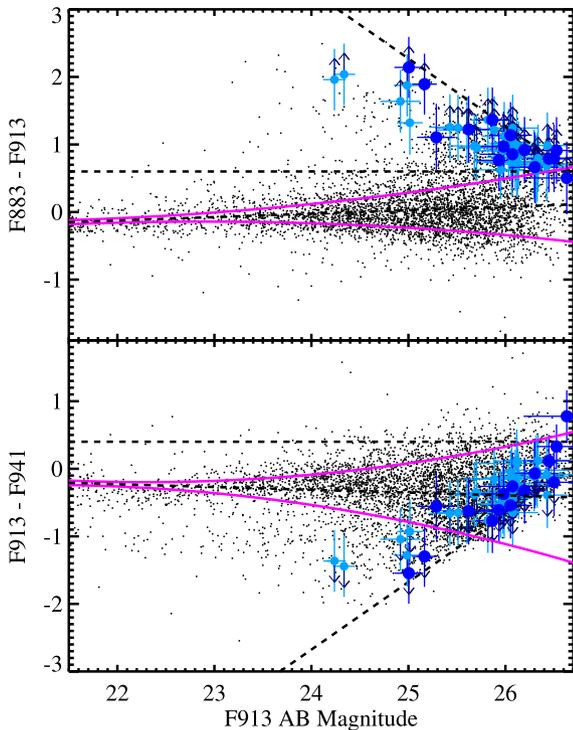


Figure 5. Colour–magnitude diagrams, adopting aperture magnitudes. The magenta solid lines indicate the 2σ boundaries of the uncertainty in the F883 – F913 (top panel) and F913 – F941 (bottom panel) colour measurements as functions of AB magnitudes. The middle black dashed lines trace the median colour of the objects in each magnitude bin. The horizontal black dashed lines indicate the colour cut criteria (F883 – F913 ≥ 0.60 and F913 – F941 ≤ 0.40). The diagonal black dashed lines indicate the colour boundaries arising from the F883 (2σ) – F913 and F913 – F941 (2σ) colours. Note that the candidates qualify as clearly detected at the 3σ level within a 2-arcsec aperture. The symbols are as described in Fig. 4.

uncertainty in colour from the median colour distribution of all objects. The contours are drawn from the 2σ uncertainty of the objects’ colour measurements, based on propagation of standard errors of the aperture magnitude in each band, away from the median colour in each magnitude bin (bin size of 0.1 mag). However, the flux sensitivity of the F941 image is about 0.5 mag shallower than the F913 image. The lack of depth in the F941 band prevents us only from distinguishing between LAE and LBG candidates, but not from selecting the star-forming galaxies at $z = 6.5$ from the low- z interlopers. The diagonal line formed by the majority of the LAE candidates in the colour–colour diagram in Fig. 4 corresponds to the objects with non-detection in F883 and F941.

To gain a better understanding on how we can truly optimize the colour criteria to include most LAEs with a minimum number of low- z interlopers, we conduct a simulation for the expected colour responses from the high- z dropout galaxies and possible interlopers. The first step of the simulation is computing the total GTC/OSIRIS system throughput, taking into account the atmospheric transmission and the filter responses of all three bands. We obtain the system magnitude for each band from the products of these filters’ total throughputs and the normalized model SEDs of a typical high- z dropout galaxy and possible low- z interlopers at redshift ranging from $z = 0$ to 7. The simulated SEDs are shown in Fig. 6. The colour response for the model SEDs of the dropout galaxy and low- z interlopers, including Galactic L-T dwarfs, a dusty starburst galaxy,

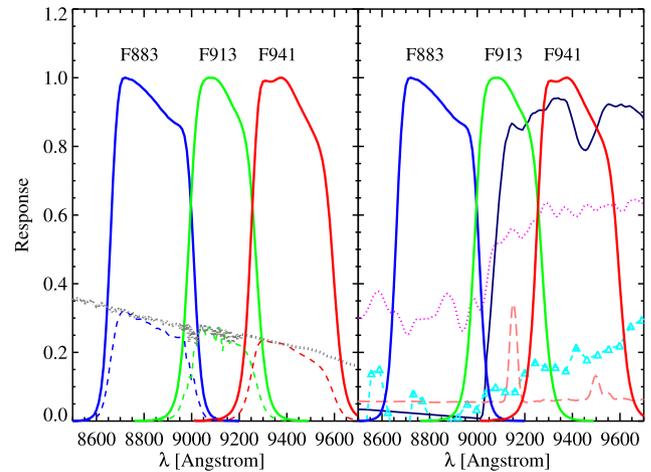


Figure 6. Filter response functions and model SEDs for various type of possible candidates and interlopers. Left: Blue, green and red solid lines represent F883, F913 and F941 normalized filter response functions, respectively. The grey dotted line is the overall throughput of the system (taking into account the total optical throughput of GTC/OSIRIS, CCD quantum efficiency and atmospheric transmission). Right: Again, the normalized filter response functions are shown as solid lines, overlaid by the various model SEDs of potential sources. The navy blue solid line represents the SED of a dropout galaxy (Papovich, Dickinson & Ferguson 2001), with its modified transmitted flux density blueward of Ly α being that expected at $z = 6.5$ (extrapolated from Madau 1995). The magenta dotted line represents the SED of a Balmer-break galaxy (Coleman, Wu & Weedman 1980), which is the nucleus of an old elliptical galaxy, redshifted to $z \sim 0.4$. The cyan triangle-dashed line represents the SED of a red Galactic dwarf star with spectral type T0 (Gunn & Stryker 1983; Knapp et al. 2004). The orchid dashed line represents a dusty starburst galaxy (Cimatti et al. 2002), redshifted to $z \sim 1.4$.

late-type spiral galaxies and a Balmer-break galaxy, are calculated from the difference between the calculated system magnitudes.

Fig. 7 shows the expected F883 – F913 and F913 – F941 colours for the high- z dropout galaxy and low- z interlopers as a function of redshift. The SED of the model dropout galaxy is set to have almost constant maximum flux density beyond the rest-frame wavelength of 1216 Å, and only 2 per cent or less of the maximum flux density level for the rest-frame wavelength less than 1216 Å. Doing so, we mimic the SED of LBGs with the strongest possible UV continuum. Thus, the colour response for the high- z dropout galaxy shown in Fig. 7 resembles that of the most extreme LBGs located at $z = 0–7$.

The expected colours of LBGs are used as boundaries for the expected colours of LAEs. One of the main differences in the SEDs between an LAE and LBG is that the latter has a strong rest-frame UV continuum, while the former does not. Therefore, due to the relative flux densities of the Ly α emission and optically thick Ly α forest, the F883 – F913 colour of any $z = 6.5$ LAE should be greater than that of the model of a dropout galaxy. On the other hand, due to the relative flux densities of the Ly α emission and UV continuum, the F913 – F941 colour of any $z = 6.5$ LAE should be lower than that of the dropout galaxies. Thus, for the redshift range approximately between 6.4 and 6.6, the optimized colours that yield the lowest contamination from the low- z interlopers (e.g. H α emitters, [O II 3727] emitters, Balmer break galaxies and L-T dwarfs) are F883 – F913 ≥ 0.6 and F913 – F941 ≤ 0.4 . Thus, the simulation results are in good agreement with our predetermined colour criteria for the LAE candidates at $z = 6.5$. These colour criteria also aid the detection of fainter LAEs at $z \sim 6.5$, in comparison with the work

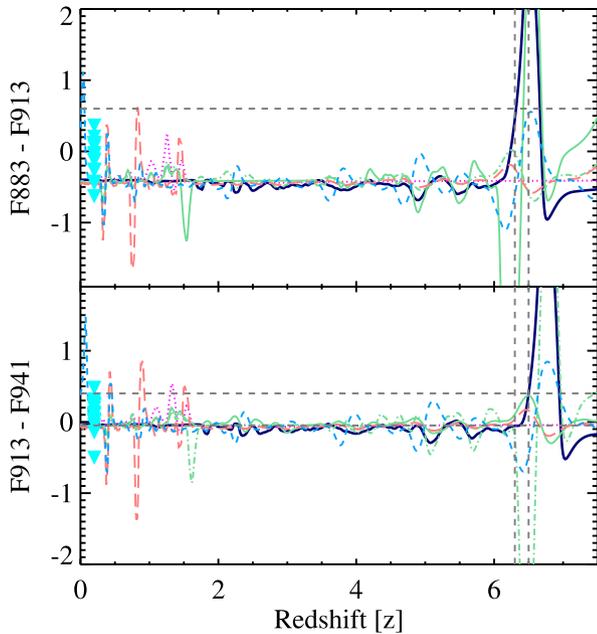


Figure 7. Expected colours as a function of redshift for various types of possible candidates and interlopers. The navy blue solid, magenta dotted and orchid long-dashed lines are the expected colours of a dropout galaxy, a Balmer-break galaxy and a dusty starburst galaxy as functions of redshift. The green dash-dotted lines are the expected colours of late-type spiral galaxies (e.g. Terlevich & Forbes 2002) as a function of redshift. The blue dashed lines are the expected colours of high- z quasars derived from the composite SED of Sloan Digital Sky Survey quasars (Vanden Berk et al. 2001). The cyan triangles are the expected colours of Galactic L-T dwarfs at $z = 0$. Notice that we have shifted the abscissa values of the cyan triangles to $z = 0.2$ to avoid plotting the symbols over the y -axis. From this illustration, the expected colours for dropout galaxies at $z \sim 6.5$ should be as follows: $F883 - F913 \geq 0.60$ and $F913 - F941 \leq 0.40$ for LAEs (with strong Ly α emission and weak UV continuum).

by Ouchi et al. (2010). However, with the concern that the colour criteria are shallower than the usual LAE surveys, we conducted a simulation to see whether a pure power-law SED with arbitrary slope ($0 \leq \alpha \leq 10$) would pass such criteria. We found that only a specific range of power-law slopes would pass the colour criteria (i.e. $1.7 \leq \alpha \leq 2.0$). Furthermore, the simulation of the colour response also shows that the LAE candidates with $F883 - F913 \geq 0.6$ and $F913 - F941 \leq 0.4$, would not be contaminated by power-law SED objects, regardless of the slope.

All the objects that pass the colour criteria were cross-checked with the SXDS photometric catalogue (Furusawa et al. 2008) to check for detections in the B , V , R , i' or z' bands. Only detections in the z' band are tolerated, because a strong Ly α emission line at $z = 6.5$ ($\lambda = 9120 \text{ \AA}$) could be marginally detected in the z' band, covering $\sim 8500\text{--}9500 \text{ \AA}$. First, we search for non-detection in the B , V , R and i' bands using SXDS catalogues and 3σ limiting magnitudes. Then, we conduct a visual inspection through all the $6 \times 6 \text{ arcsec}^2$ stamp images of the preliminary LAE candidates and reject those with any sign of marginal detections in the B , V , R or i' bands. Therefore, the final set of LAE candidates shows only clear detections in F913, marginal to non-detections in the z' band, and non-detection in any other bands, as illustrated in Fig. 8, where we show stamp images of 10 LAE candidates. With the thorough examination, the final set of LAE candidates that pass all of the criteria amount to only 10 per cent of the initial selection.

We categorize candidates into different classes based on their F913 flux profiles. Class I LAE candidates are those that exhibit flux profiles resembling compact galaxies in the F913 band, have peak flux at the centre, and a compact almost circular shape. Class II LAE candidates are those that exhibit flux profiles resembling compact galaxies, but have noise contamination and/or the position of their peak flux is skewed from the centre. Class III LAE candidates exhibit questionable flux profiles associated with noise fringes, or resemble cosmic rays. Class III LAE candidates are prone to be spurious detections or residual cosmic rays, rather than actual LAEs at $z = 6.5$. We only catalogue and focus our analysis on class I and II LAE candidates.

The object IDs, coordinates, along with physical parameters for 47 LAE candidates (two spectroscopically confirmed LAEs plus our 45 LAE candidates) are listed in Table 2. The catalogue contains 15 class I LAE candidates, named LAE-C-1-01 through -15. The total of 32 class II LAE candidates are also included in the catalogue, namely LAE-C-2-16 through -47. Within class I LAE candidates, LAE-C-1-01 and LAE-C-1-02 are the two spectroscopically confirmed LAEs at $z \sim 6.5$, namely NB921-N-79144 and NB921-N-77765, respectively (Ouchi et al. 2010).

The co-added stamp images of the 45 LAE candidates (excluding the two confirmed LAEs) in the B , V , R , i' , z' , F883, F913 and F941 bands are shown in Fig. 9. The very deep broad-band photometry, with 3σ AB magnitudes in the B , V , R , i' and z' bands of 28.6, 27.8, 27.7, 27.7 and 26.6 mag, is obtained from the data release of the SXDS survey (Furusawa et al. 2008). The stacked images show non-detection everywhere except in the F913 band, which is the position of the redshifted Ly α emission. The other characteristic of LAEs at high redshift is their compactness, with half-light radii ranging from 0.5 to ~ 4.0 kpc (e.g. Venemans et al. 2005; Pirzkal et al. 2007; Bond et al. 2009; Finkelstein et al. 2011; Gronwall et al. 2011; Malhotra et al. 2012; Momose et al. 2014; Guaita et al. 2015). Fig. 10 shows the half-light radii and F913 AB magnitudes of the LAE candidates corrected with the point spread function (PSF). The PSF-corrected half-light radii of the LAE candidates in arcsec shown in the figure are calculated by

$$R_{\text{HL}} = \sqrt{R_{\text{HL-obs}}^2 - \text{FWHM}_{\text{unsat}}^2},$$

where $R_{\text{HL-obs}}$ and $\text{FWHM}_{\text{unsat}}$ are the observed half-light radius and the measured FWHM of an unsaturated stellar PSF in arcsec, respectively. The majority of LAE candidates have $R_{\text{HL}} \leq 4$ kpc, as expected.

3.3 Validity of the candidates

The final reduced images in all three bands exhibit disparity between noise levels on the left and right sides of the images, corresponding to the left and right OSIRIS CCDs. This is the combined effect of two major factors. The first factor is the wavelength variation across the field of view (FOV) of the filters used in this survey. From Pérez-González et al. (2013), the variation of the filter's central wavelength from the optical axis for the SHARDS filter (including F883, F913 and F941) is $\sim 2.8 \times 10^{-5} \text{ \AA pixel}^{-2}$. Since OSIRIS operates off-axis for medium-band imaging, the wavelength variation could be up to $\sim 100 \text{ \AA}$ from the left to right edges of the detector (about 2000 pixels across). However, in our case, the FOV spans only 1640 pixel in the x -direction (RA) after trimming. Thus, a realistic estimation for the wavelength variation would be $\sim 70 \text{ \AA}$ across the FOV. The effect mentioned makes the near-infrared night sky fringes more prominent in particular regions of the FOV than the

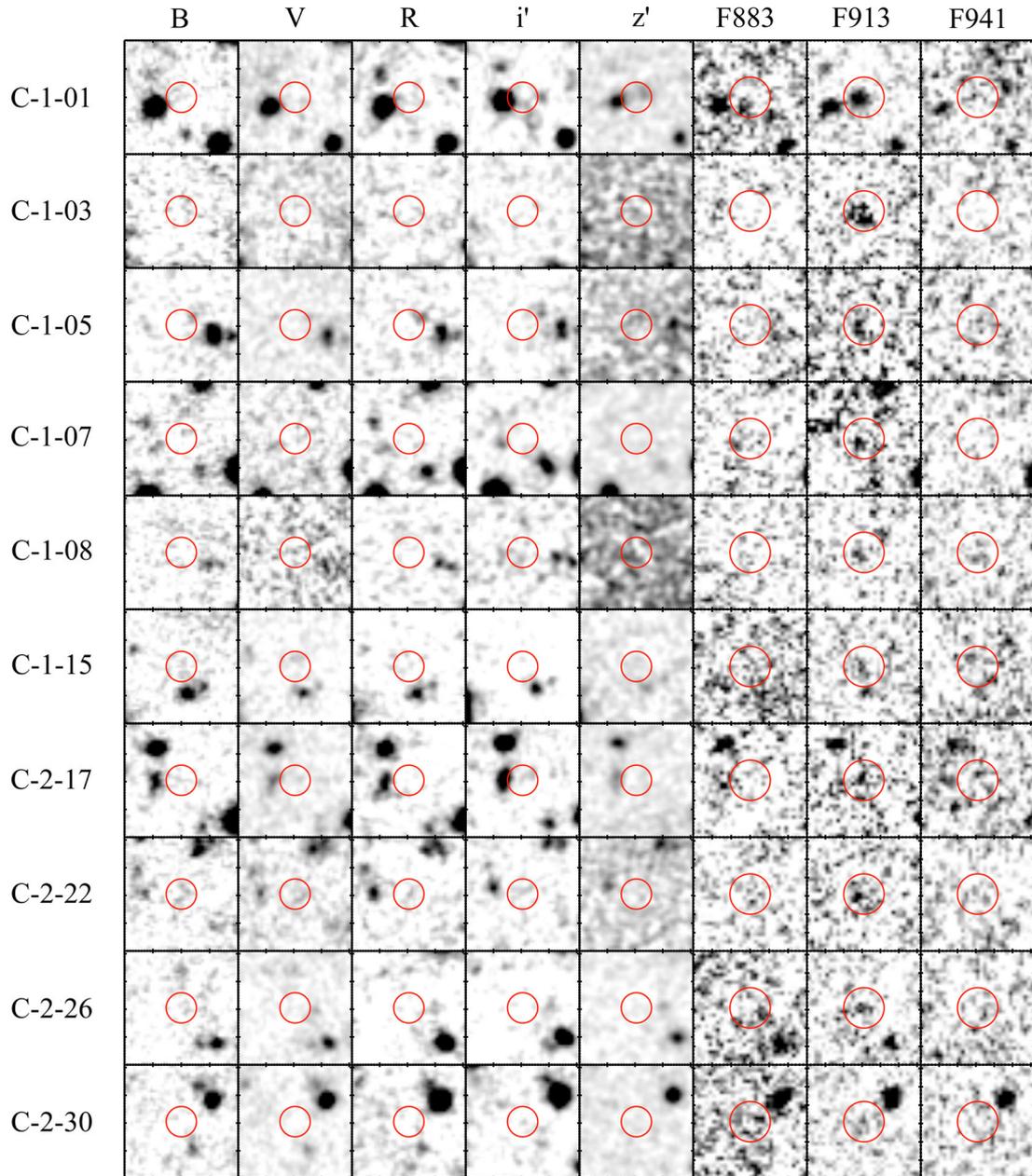


Figure 8. Sample stamp images of LAE candidates both in OSIRIS/SHARDS medium band filters and SXDS B , V , R , i' and z' filters. Note that LAE-C1-01 (line 1) is the same as the spectroscopically confirmed massive LAE in SXDS-N from Ouchi et al. (2010). C-1 denotes class I (lines 1–6) and C-2 denotes class II (lines 7–10).

others. The second factor is the difference in quality between the two OSIRIS CCDs. The combined effect of these two factors generates a steeply rising rms noise level on the right CCD ($x_{\text{pix}} \geq 800$ or $\text{RA} \leq 2^{\text{h}}18^{\text{m}}20^{\text{s}}.25$). This prevents the selection routines from detecting such faint sources like the LAE candidates at $z = 6.5$. The spatial distribution of the LAE candidates and a contour map of the F913 background rms noise are shown in Fig. 11. The two red open circles indicate the positions of the spectroscopically confirmed LAEs from Ouchi et al. (2008, 2010).

Due to the disparity of noise and differential contamination levels between the two OSIRIS CCDs, we cannot use the traditional integrated completeness and contamination functions for the whole image. Instead, we conduct an analysis to assess the completeness and contamination values of each individual pixel for a spe-

cific range of magnitudes for all three images. Furthermore, the positions of the LAE candidates also exhibit a peculiar bimodal distribution. 2D simulations for completeness and contamination (spurious detection) levels in all three bands are needed to gain a better understanding of these effects. We will discuss the benefits of this analysis in the next section. Here, we explain how to construct such a 2D treatment of completeness and contamination levels.

The completeness and contamination simulations are carried out as discussed in the previous section. However, this time, we assign the values of completeness and contamination levels for each individual pixel of the images. First, we sort the magnitude range into six bins, from 24.2 to 26.6 mag, with a 0.4 mag bin size. In each magnitude bin, we calculate the contamination level from the ratio between the numbers of spurious to real detections that fall

Table 2. List of LAE candidates.

| Object | α (J2000) | δ (J2000) | F883 (mag) | F913 (mag) | F941 (mag) | mag _{Lyα} (mag) | $L_{Ly\alpha}$ (10^{43} erg s $^{-1}$) | R_{HL} (kpc) | C_{F913} | S_{F913} |
|-------------|------------------|------------------|---------------|---------------|---------------|---|---|--|------------|------------|
| (1) | (2) | (3) | (4) | (5) | (6) | (7) | (8) | (9) | (10) | (11) |
| LAE-C-1-01* | 2:18:27.0300 | -4:35:08.267 | >27.27 | 25.38 | >26.68 | 25.17 | 1.13 \pm 0.14 | 1.62 ^{+0.40} _{-0.30} | 0.86 | 0.12 |
| LAE-C-1-02* | 2:18:23.5437 | -4:35:24.144 | >27.27 | 26.77 | 25.99 | 26.63 | 0.30 \pm 0.14 | 1.81 ^{+0.52} _{-0.14} | 0.40 | 0.17 |
| LAE-C-1-03 | 2:18:07.5897 | -4:36:46.399 | >27.27 | 25.13 | >26.68 | 25.00 | 1.31 \pm 0.19 | 3.43 ^{+0.81} _{-0.62} | 0.92 | 0.09 |
| LAE-C-1-04 | 2:18:08.0200 | -4:30:28.145 | >27.27 | 25.91 | >26.68 | 25.87 | 0.60 \pm 0.10 | 2.62 ^{+0.71} _{-0.44} | 0.68 | 0.13 |
| LAE-C-1-05 | 2:18:08.2186 | -4:38:00.294 | >27.27 | 26.05 | >26.68 | 25.62 | 0.74 \pm 0.18 | 3.39 ^{+0.90} _{-0.60} | 0.83 | 0.07 |
| LAE-C-1-06 | 2:18:07.1575 | -4:37:44.814 | >26.83 | 26.07 | >26.68 | 25.93 | 0.56 \pm 0.12 | 2.68 ^{+0.74} _{-0.44} | 0.83 | 0.09 |
| LAE-C-1-07 | 2:18:06.4901 | -4:32:35.247 | >27.23 | 26.12 | >26.68 | 25.29 | 1.01 \pm 0.19 | 2.12 ^{+0.53} _{-0.38} | 0.92 | 0.23 |
| LAE-C-1-08 | 2:18:09.1250 | -4:32:48.995 | >27.27 | 26.13 | >26.68 | 26.05 | 0.50 \pm 0.11 | 2.22 ^{+0.62} _{-0.36} | 0.57 | 0.06 |
| LAE-C-1-09 | 2:18:07.2344 | -4:36:38.999 | >27.27 | 26.30 | >26.68 | 25.98 | 0.53 \pm 0.16 | 0.72 ^{+0.20} _{-0.12} | 0.81 | 0.33 |
| LAE-C-1-10 | 2:18:22.8826 | -4:35:10.499 | >27.27 | 26.35 | >26.68 | 26.20 | 0.44 \pm 0.09 | 4.38 ^{+1.25} _{-0.66} | 0.56 | 0.06 |
| LAE-C-1-11 | 2:18:22.4661 | -4:36:54.651 | >27.27 | 26.36 | 26.03 | 26.53 | 0.32 \pm 0.06 | 1.62 ^{+0.47} _{-0.16} | 0.38 | 0.09 |
| LAE-C-1-12 | 2:18:23.4265 | -4:30:20.084 | >27.27 | 26.48 | >26.68 | 26.50 | 0.33 \pm 0.07 | 1.92 ^{+0.55} _{-0.20} | 0.58 | 0.08 |
| LAE-C-1-13 | 2:18:26.8276 | -4:31:21.075 | >27.27 | 26.48 | 26.37 | 26.45 | 0.35 \pm 0.08 | 1.39 ^{+0.40} _{-0.16} | 0.41 | 0.09 |
| LAE-C-1-14 | 2:18:22.4066 | -4:33:21.787 | >27.27 | 26.61 | >26.68 | 26.30 | 0.40 \pm 0.09 | 1.49 ^{+0.43} _{-0.21} | 0.50 | 0.30 |
| LAE-C-1-15 | 2:18:22.5997 | -4:35:27.820 | >27.27 | 26.42 | >26.68 | 26.07 | 0.49 \pm 0.17 | 2.15 ^{+0.61} _{-0.35} | 0.59 | 0.06 |
| LAE-C-2-16 | 2:18:08.6727 | -4:36:53.597 | >27.27 | 25.23 | >26.68 | 24.34 | 2.42 \pm 0.28 | 2.11 ^{+0.42} _{-0.35} | 0.94 | 0.32 |
| LAE-C-2-17 | 2:18:12.1847 | -4:38:14.007 | >27.27 | 26.03 | >26.68 | 25.51 | 0.82 \pm 0.19 | 1.41 ^{+0.38} _{-0.26} | 0.80 | 0.18 |
| LAE-C-2-18 | 2:18:07.0651 | -4:38:12.875 | >27.27 | 26.03 | >26.68 | 26.07 | 0.49 \pm 0.12 | 4.68 ^{+1.32} _{-0.76} | 0.81 | 0.12 |
| LAE-C-2-19 | 2:18:07.0852 | -4:37:10.125 | 26.78 | 26.16 | >26.68 | 25.95 | 0.55 \pm 0.13 | 1.40 ^{+0.39} _{-0.23} | 0.50 | 0.12 |
| LAE-C-2-20 | 2:18:21.8270 | -4:32:53.379 | >27.27 | 26.24 | >26.68 | 26.08 | 0.49 \pm 0.09 | 2.47 ^{+0.70} _{-0.40} | 0.70 | 0.19 |
| LAE-C-2-21 | 2:18:09.2129 | -4:37:57.352 | >27.27 | 26.26 | >26.68 | 26.03 | 0.50 \pm 0.10 | 2.52 ^{+0.71} _{-0.41} | 0.56 | 0.05 |
| LAE-C-2-22 | 2:18:07.0303 | -4:37:00.984 | 27.07 | 25.75 | >26.68 | 25.01 | 1.30 \pm 0.17 | 1.76 ^{+0.42} _{-0.32} | 0.87 | 0.08 |
| LAE-C-2-23 | 2:18:07.1685 | -4:35:38.490 | >27.27 | 26.02 | >26.68 | 25.43 | 0.88 \pm 0.18 | 2.56 ^{+0.66} _{-0.47} | 0.81 | 0.21 |
| LAE-C-2-24 | 2:18:07.8085 | -4:38:10.968 | >27.27 | 26.15 | >26.68 | 25.99 | 0.53 \pm 0.12 | 2.63 ^{+0.73} _{-0.43} | 0.73 | 0.09 |
| LAE-C-2-25 | 2:18:21.8096 | -4:36:59.126 | >27.27 | 26.29 | >26.68 | 26.43 | 0.35 \pm 0.07 | 2.10 ^{+0.61} _{-0.24} | 0.34 | 0.10 |
| LAE-C-2-26 | 2:18:22.4203 | -4:37:12.593 | >27.27 | 26.32 | >26.68 | 25.70 | 0.68 \pm 0.13 | 2.94 ^{+0.78} _{-0.51} | 0.76 | 0.06 |
| LAE-C-2-27 | 2:18:26.6885 | -4:34:15.614 | >27.27 | 26.35 | >26.68 | 26.08 | 0.49 \pm 0.12 | 3.84 ^{+1.08} _{-0.63} | 0.72 | 0.05 |
| LAE-C-2-28 | 2:18:23.1015 | -4:30:55.541 | >27.27 | 26.52 | 26.44 | 26.12 | 0.47 \pm 0.22 | 3.11 ^{+0.88} _{-0.50} | 0.66 | 0.15 |
| LAE-C-2-29 | 2:18:25.1010 | -4:31:02.156 | >27.27 | 26.54 | >26.68 | 26.12 | 0.47 \pm 0.15 | 2.36 ^{+0.67} _{-0.38} | 0.65 | 0.07 |
| LAE-C-2-30 | 2:18:24.8428 | -4:37:11.100 | >27.27 | 26.56 | >26.68 | 26.30 | 0.40 \pm 0.14 | 1.66 ^{+0.48} _{-0.23} | 0.60 | 0.08 |
| LAE-C-2-31 | 2:18:09.5141 | -4:38:28.900 | >27.27 | 25.40 | >26.68 | 24.99 | 1.33 \pm 0.18 | 2.63 ^{+0.62} _{-0.48} | 0.69 | 0.07 |
| LAE-C-2-32 | 2:18:07.8607 | -4:30:29.718 | >27.27 | 25.91 | >26.68 | 25.82 | 0.62 \pm 0.11 | 0.84 ^{+0.23} _{-0.14} | 0.72 | 0.07 |
| LAE-C-2-33 | 2:18:06.5917 | -4:37:59.999 | 26.88 | 25.97 | 26.45 | 25.97 | 0.54 \pm 0.13 | 2.66 ^{+0.73} _{-0.44} | 0.85 | 0.06 |
| LAE-C-2-34 | 2:18:06.9561 | -4:38:22.085 | >27.27 | 26.28 | 26.17 | 26.12 | 0.47 \pm 0.12 | 2.07 ^{+0.59} _{-0.33} | 0.78 | 0.05 |
| LAE-C-2-35 | 2:18:06.7520 | -4:32:22.535 | >27.27 | 25.31 | >26.68 | 24.24 | 2.66 \pm 0.32 | 2.52 ^{+0.51} _{-0.42} | 0.95 | 0.10 |
| LAE-C-2-36 | 2:18:06.9735 | -4:30:34.311 | >27.27 | 26.06 | >26.68 | 25.60 | 0.76 \pm 0.23 | 4.72 ^{+1.24} _{-0.84} | 0.69 | 0.07 |
| LAE-C-2-37 | 2:18:06.8142 | -4:38:03.216 | >27.27 | 26.06 | 26.21 | 25.88 | 0.58 \pm 0.19 | 3.55 ^{+0.96} _{-0.59} | 0.88 | 0.04 |
| LAE-C-2-38 | 2:18:26.3607 | -4:34:14.271 | 27.11 | 26.22 | 26.27 | 26.06 | 0.49 \pm 0.08 | 2.59 ^{+0.73} _{-0.42} | 0.71 | 0.06 |
| LAE-C-2-39 | 2:18:32.1844 | -4:33:42.234 | >27.27 | 26.42 | >26.68 | 26.14 | 0.46 \pm 0.14 | 2.53 ^{+0.72} _{-0.40} | 0.86 | 0.18 |
| LAE-C-2-40 | 2:18:28.9901 | -4:30:45.280 | >27.27 | 26.48 | 26.35 | 26.34 | 0.38 \pm 0.13 | 3.55 ^{+1.02} _{-0.47} | 0.64 | 0.24 |
| LAE-C-2-41 | 2:18:25.0030 | -4:31:12.727 | >27.27 | 26.59 | >26.68 | 26.43 | 0.35 \pm 0.10 | 2.44 ^{+0.70} _{-0.29} | 0.38 | 0.04 |
| LAE-C-2-42 | 2:18:06.7337 | -4:30:20.048 | >27.27 | 25.63 | >26.68 | 24.92 | 1.42 \pm 0.29 | 2.52 ^{+0.58} _{-0.44} | 0.99 | 0.09 |
| LAE-C-2-43 | 2:18:24.1854 | -4:35:40.977 | >27.27 | 26.46 | >26.68 | 26.10 | 0.48 \pm 0.14 | 3.62 ^{+1.03} _{-0.59} | 0.79 | 0.31 |
| LAE-C-2-44 | 2:18:30.0796 | -4:33:59.011 | >27.27 | 26.61 | >26.68 | 26.33 | 0.39 \pm 0.09 | 1.14 ^{+0.33} _{-0.15} | 0.49 | 0.05 |

Table 2 – *continued*

| Object | α (J2000) | δ (J2000) | F883 (mag) | F913 (mag) | F941 (mag) | $\text{mag}_{\text{Ly}\alpha}$ (mag) | $L_{\text{Ly}\alpha}$ ($10^{43} \text{ erg s}^{-1}$) | R_{HL} (kpc) | C_{F913} | S_{F913} |
|------------|------------------|------------------|---------------|---------------|---------------|---|---|--------------------------|-------------------|-------------------|
| (1) | (2) | (3) | (4) | (5) | (6) | (7) | (8) | (9) | (10) | (11) |
| LAE-C-2-45 | 2:18:23.0538 | -4:32:50.351 | >27.27 | 26.54 | >26.68 | 26.07 | 0.49 ± 0.15 | $1.78^{+0.50}_{-0.29}$ | 0.65 | 0.07 |
| LAE-C-2-46 | 2:18:29.0698 | -4:36:41.021 | >27.27 | 26.66 | >26.68 | 26.32 | 0.39 ± 0.13 | $1.81^{+0.52}_{-0.25}$ | 0.53 | 0.17 |
| LAE-C-2-47 | 2:18:29.9011 | -4:30:18.125 | >27.27 | 26.29 | >26.68 | 25.68 | 0.70 ± 0.16 | $0.82^{+0.22}_{-0.14}$ | 0.89 | 0.31 |

Notes. *The two spectroscopically confirmed LAEs, NB921-N-79144 and NB921-N-77765, from Ouchi et al. (2010). (1) Object ID, (2, 3) RA and declination, (4) F883 aperture magnitude, (5) F913 aperture magnitude, (6) F941 aperture magnitude, (7) F913 AB magnitude, (8) Ly α luminosity in $10^{43} \text{ erg s}^{-1}$, (9) half-light radius in kiloparsecs, (10) completeness probability (11) and spurious probability (i.e. probability of the candidate being a spurious detection) corresponding to its F913 magnitude and position on the field.

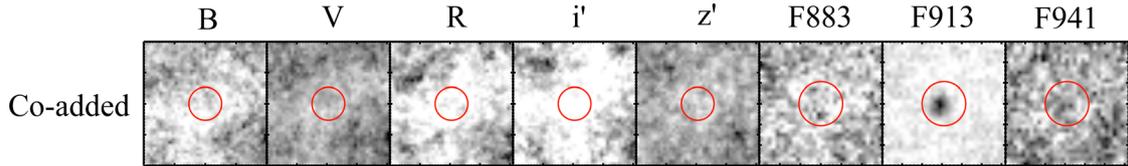


Figure 9. Stacked images of 45 class I and II LAE candidates, excluding the spectroscopically confirmed massive LAEs from Ouchi et al. (2010). One can clearly see that there is no significant detection in any other bands except in SHARDS F913, which is the position of the redshifted Ly α emission. These co-added images truly show the signature of an average LAE at $z = 6.5$.

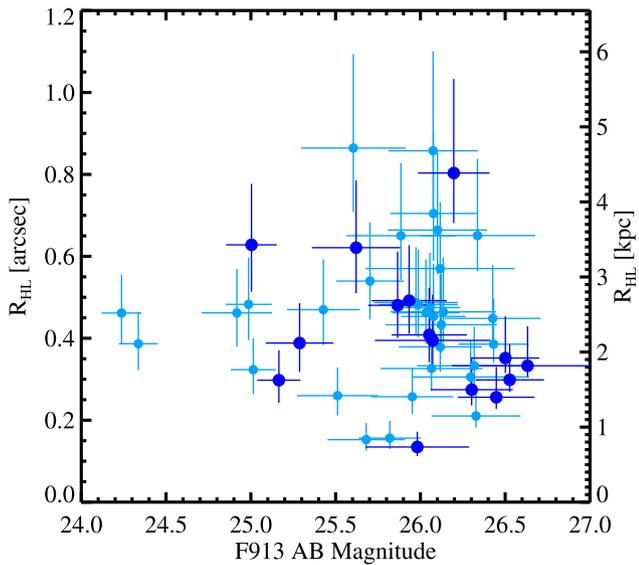


Figure 10. Half-light radii, R_{HL} , of Ly α emission regions calculated with a simple PSF spread correction. The median FWHM of unsaturated point sources (18–22 mag stars) in the F913 band is 0.80 arcsec. Note that the observations were under a seeing limited regime, with seeing of ~ 0.7 arcsec at a wavelength of 900 nm and median airmass of 1.2. The R_{HL} of the candidates is shown in kiloparsecs, assuming that all candidates are located at $z = 6.5$.

within a 100-pixel radius from the pixel of interest (the reference pixel). Similarly, we calculate the completeness level from the ratio between the numbers of simulated objects detected to the total number of simulated objects injected within a 100-pixel radius from the reference pixel. For the completeness level, we repeat this recovering process 200 times for each band and magnitude bin to obtain the pixel-to-pixel median values of the completeness levels.

Figs 12 and 13 are the filled-contour plots for the 2D completeness and contamination levels in each magnitude bin for the three bands. The 25.8–26.2 mag and 26.2–26.6 mag bins clearly show

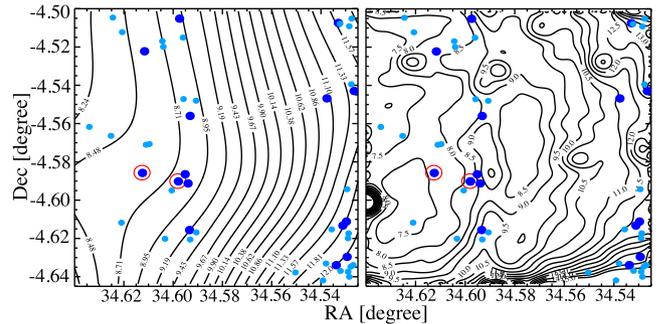


Figure 11. Spatial positions of the final LAE candidates, overlaid on the contour maps of rms noise level in ADU. Left: The rms noise contour map from SEXTRACTOR. Right: The rms noise contour map made by our own routine with a 100×100 pixel² sub-region to assess background noise. The red circles indicate the positions of the two spectroscopically confirmed LAEs from Ouchi et al. (2010). The plate scale is $0.254 \text{ arcsec pixel}^{-1}$. The symbols are as described in Fig. 4.

the differences in completeness levels between two CCDs of each band. The left OSIRIS CCD clearly exhibits higher completeness levels and much lower contamination levels than those of the right OSIRIS CCD, especially for the last two magnitude bins. Overall, the F941 band has the lowest completeness levels. The F883 and F913 bands have equally high completeness levels. Nevertheless, the contamination levels of the F883 and F941 bands are higher than those of the F913 band. This proves that the F913 band has advantages in terms of both completeness and contamination levels compared to the other bands.

The 2D completeness and contamination maps can also be used for computing the probability of an LAE candidate being a real detection as a function of magnitude and position on the images. The probability for an LAE candidate being a real detection is derived from the probability of the detection in the F913 image being real (i.e. not a spurious source):

$$P_{\text{F913}}(\text{LAE}) = 1 - S_{\text{F913}}(\text{mag}, x, y). \quad (4)$$

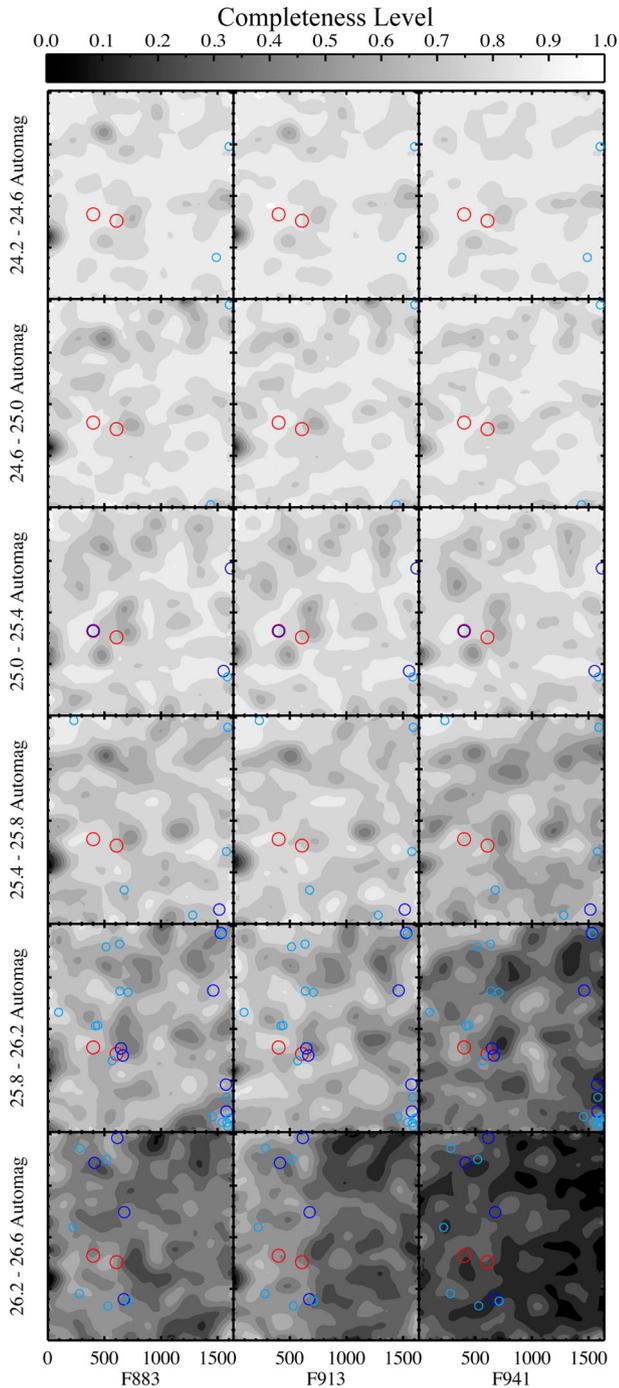


Figure 12. From left to right: Completeness levels in the F883, F913 and F941 bands based on the spatial position in the image and the AB magnitude bin. We adopt the colour and symbol codes for all classes of the LAE candidates and detection scenarios. The difference between noise levels in the two OSIRIS CCDs causes the differential completeness levels across the FOV. The concentration of LAE candidates on the left OSIRIS CCD is likely caused by this differential completeness.

S_{F913} is the ratio between the numbers of spurious detections to real detections as a function of magnitude and xy position (i.e. 2D contamination function) on the F913 image. However, if the LAE candidate shows some marginal detection brighter than 2σ magnitude in either the F883 or F941 band, equation (4) has to be modified by treating those marginal detections as contamination.

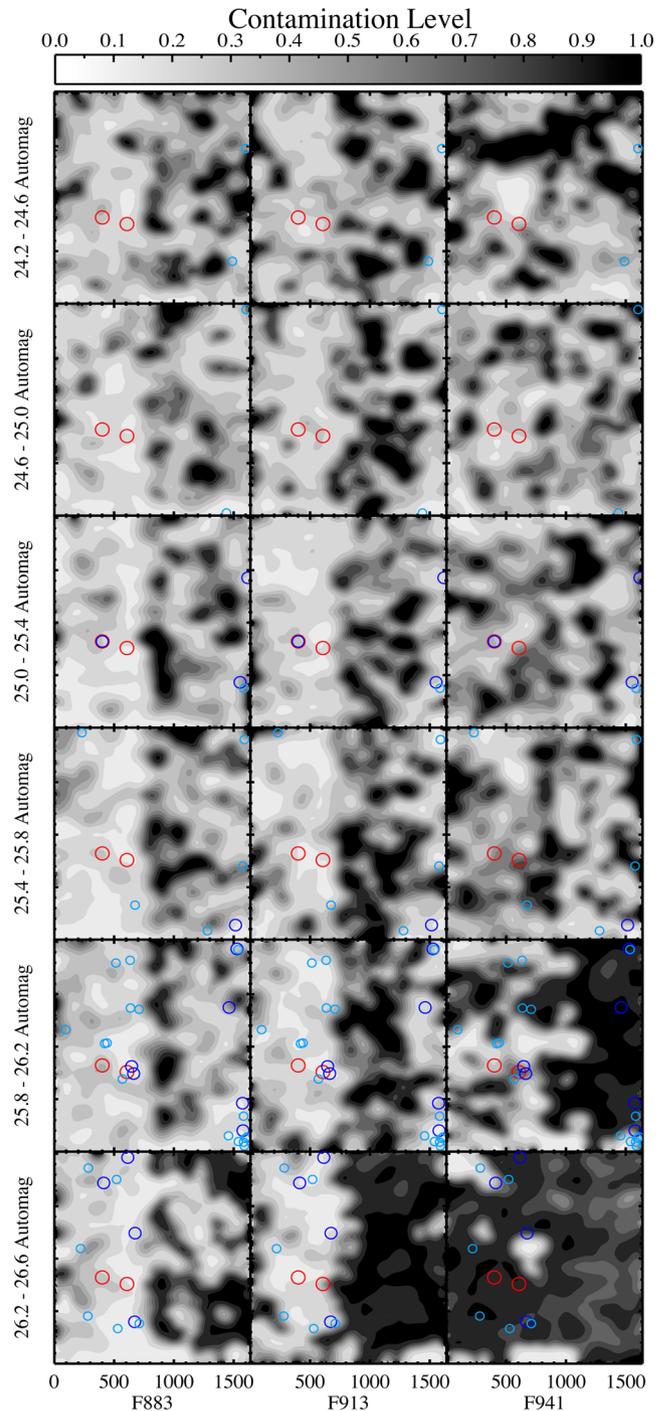


Figure 13. From left to right: Contamination levels in the F883, F913 and F941 bands based on the spatial position in the image and the AB magnitude bin. High contamination levels and rms noise on the right OSIRIS CCD may prevent the detection of LAEs in that region. The positions of LAE candidates are also consistent with the low contamination regions.

Thus, in this scenario, the probability of a real detection can be modified by multiplying equation (4) with the 2D spurious function from the band and magnitude bin with the marginal detection.

Valid LAE candidates should have a probability of real detection ≥ 0.5 . This extra criterion helps in the validation of the LAE candidates. All the LAE candidates from the final catalogue fall in regions with high completeness and low contamination levels

in the F913 band. With this validation and selection of candidates, we have solved the puzzle of the peculiar spatial distribution of the LAE candidates. However, we cannot rule out contamination from possible low- z interlopers. Our probability simulations only serve to select candidates with a good chance of being real detections, but cannot differentiate other galaxies from LAEs at $z = 6.5$. To confirm truly whether the LAE candidates are real, we have to resort to a spectroscopic follow-up. Nevertheless, the 2D probability assessment gives us the confidence that we have selected the best candidates in the regions with statistically low contamination and high completeness. This extra step of validation also proves to be useful in target selection for the spectroscopic follow-up and statistical decontamination of the integrated properties of the population (i.e. luminosity function).

4 DISCUSSION

We can estimate the number density of $z = 6.5$ galaxies, by computing the number density of the LAE candidates in this field. A conventional way to determine the observed number density is to integrate over the luminosity function down to our observation limit. We can obtain the luminosity function of the LAE candidates at $z = 6.5$ and its parameters by conducting a χ^2 -fitting to find the best-fitting Schechter function to the binned number densities of the LAE candidates. The Schechter function used in the fitting process is

$$\Phi(L)dL = \Phi^*(L/L^*)^\alpha \exp(-L/L^*)d(L/L^*). \quad (5)$$

The detailed processes in the derivation of the LAE luminosity function and its best-fitting parameters are discussed below.

First, we need to calculate the expected number of LAE candidates for each magnitude bin. To do this, we use a bin size of 0.4 mag. This bin size ensures that there are enough candidates in each bin for the measurement to be statistically significant, while maximizing the number of magnitude bins. Next, we normalize the values of the binned numbers of the LAE candidates to those with the bin size of 2.5 mag, corresponding to $\Delta \log(L) = 1$. Then, we need to convert the candidates' F913 AB magnitudes into the Ly α luminosities. This is not a direct magnitude to flux conversion, because the F913 magnitude is the result of the combined flux of Ly α emission and the UV continuum. The F941 band is not deep enough to determine precisely the UV-continuum flux for all candidates. However, we can constrain the upper limit of the UV-continuum fluxes of the candidates.

To estimate the Ly α luminosity for each LAE candidate, we use the expected distribution of the Ly α equivalent width (EW) for high- z LAEs as reported in many studies (e.g. Malhotra & Rhoads 2002; Ando et al. 2006; Gronwall et al. 2007) and extrapolated to $z = 6.5$ using the fitted relation from Zheng et al. (2014). We found the distribution of the rest-frame EW of Ly α , $\text{EW}_0(\text{Ly } \alpha)$, at $z = 6.5$ to be $84.7 \pm 18.6 \text{ \AA}$ (exponential distribution). Next, we assume that the total F913 flux comprising the Ly α emission and UV continuum,

$$\begin{aligned} F(\text{F913}) &= F(\text{Ly } \alpha) + F(\text{UV}) \\ &= f(\text{UV}) \times \text{FWHM}(\text{F913}) \\ &\quad + f(\text{UV}) \times \text{EW}_0(\text{Ly } \alpha) \times (1 + z). \end{aligned}$$

The flux density of F913, then, can be expressed as

$$f(\text{F913}) = f(\text{UV}) \times \left(1 + \frac{\text{EW}_0(\text{Ly } \alpha) \times (1 + z)}{\text{FWHM}(\text{F913})} \right).$$

The F913 flux density of an object with 25 AB magnitude is $1.34 \times 10^{-19} \text{ erg s}^{-1} \text{ cm}^{-2} \text{ \AA}^{-1}$. Then, we can obtain the F913 flux density and, as a result, $f(\text{UV})$ of each candidate. Finally, the Ly α luminosity can be calculated by

$$L(\text{Ly } \alpha) = 4\pi D_L^2 \times (1 + z) \times \text{EW}_0(\text{Ly } \alpha) \times f(\text{UV}).$$

This calculation of Ly α luminosity gives the $L(\text{Ly } \alpha)$ of LAE-C1-01 (NB921-N-79144), which is $1.13 \pm 0.14 \times 10^{43} \text{ erg s}^{-1}$, in excellent agreement with the value calculated by Ouchi et al. (2010) ($0.9 \pm 1.2 \times 10^{43} \text{ erg s}^{-1}$). Nevertheless, note that this magnitude–luminosity transformation assumes only a single emission line (i.e. Ly α) within the F913 band.

The next step is to assess the possible contamination from low- z interlopers, such as [O II 3727] emitters at $z \sim 1.4$, H α emitters at $z \sim 0.4$, L-T dwarfs and spurious sources as observed in the photometric catalogue of LAE candidates in the SXDS and Subaru Deep Field (SDF) fields by Ouchi et al. (2010).

First, L-T dwarfs have a more or less power-law SED in our bands. As we discuss in the previous section, the adopted colour criteria already prevent against detection of objects with a power-law SED. Nevertheless, we follow the treatment in Hibon et al. (2011) to assess the possible number of L-T dwarfs and other interlopers within our observable window. The space density of L-T dwarfs is only a few 10^{-3} pc^{-3} (Reyl   et al. 2010) and only the most luminous L-dwarfs can be observed up to 4 kpc with our survey depths (Tinney, Burgasser & Kirkpatrick 2003). Therefore, there should be no more than one L-T dwarf contaminating our candidates.

Next, for H α emitters at $z \sim 0.4$ to be detected in F913 and not detected in blue bands (with other emission lines, such as H β , [O II] and [O III]) their observed EW must be at the same level or higher than our LAE candidates [i.e. $\log((1 + z) \times \text{EW}_0) \geq 2.8 \text{ \AA}$]. We found that only ~ 2 per cent of H α emitters in the HST PEARS survey (Straughn et al. 2009) exhibit such a strong emission. Considering the amount of H α at $z = 0.4$ detected in the 1 deg² survey in Geach et al. (2010) and the difference in the survey areas, we estimate the upper limit of two H α emitters as contaminants.

Probably the most important source of interlopers is [O II] emitters at $z = 1.4$, since they may not be detected in the bands blueward of F913 and appear in an F913 image very much like our LAE candidates. Again, from Straughn et al. (2009), 3 per cent of [O II] emitters have EW large enough to be detected in F913. With the luminosity function of high- z [O II] emitters (Rigopoulou et al. 2005) and the survey volume around $z = 1.4$ from the width of the F913 band, we estimate the upper limit of three [O II] emitters at $z = 1.4$ as contaminants.

Therefore, we set the upper limit of six objects as contaminants in our catalogue of LAE candidates. This is just a little less than 13 per cent of the total number of the LAE candidates, and also less than the expected counting noise from 47 objects.

Nevertheless, with careful candidate selection, we want to emphasize again that none of the potential interlopers should be a major cause of contamination in our candidates catalogue. The H α and [O II] emitters at $z = 0.4$ and 1.4 should have been detected in at least one of the SXDS B , V , R or i' bands due to their other strong emission lines (e.g. H β , [O II] and [O III]) and strong far-UV continuum, respectively. The L-T dwarfs would not pass our colour criteria in the first place, as demonstrated in the simulation shown in Fig. 7. Furthermore, we find that the spectroscopic follow-up success rate of this survey field studied by Ouchi et al. (2010) is 2/3 from the total of 30 LAE candidates, with the other 1/3 being interlopers and spurious. Therefore, just to be conservative, we adopt the fraction 2/3 for our spectroscopic success rate as well. Then, we multiply

the binned numbers of our LAE candidates by 2/3, to account for the success rate. The expected number of LAEs in each bin is now normalized and accounts for the same contamination rate as in the other surveys of the same field.

In addition, using the appropriate survey volume is crucial for the precise calculation of the LAE number density. In our case, we suffer from high contamination and low completeness in the two faintest magnitude bins (25.8–26.2 mag and 26.2–26.6 mag), especially on the right side of the OSIRIS chip as shown in Figs 12 and 13. The two faintest magnitude bins also contain the majority of the LAE candidates. Thus, the low completeness and high contamination in these two bins, on the right OSIRIS chip, severely hinder our ability to detect LAE candidates in this region, causing the peculiar bimodal spatial distribution of the LAE candidates shown in Fig. 11. This raises the question of whether we should use the full FOV or just the left OSIRIS chip in the calculation of the survey volume. We used first the full FOV to calculate the survey volume, but correcting the expected number of LAEs to account for high spurious contamination and low completeness. We apply the completeness and contamination maps as in Figs 12 and 13 to correct for the expected number of LAEs in each bin. The process of correcting the expected number of LAEs (N_b) for completeness and contamination is

$$N_c = N_b \times (1 - S_{F913}(\text{mag}, x, y)) / C_{F913}(\text{mag}, x, y), \quad (6)$$

where N_c , $S_{F913}(\text{mag}, x, y)$ and $C_{F913}(\text{mag}, x, y)$ are the corrected expected number of LAEs, the magnitude-spatial contamination function and the magnitude-spatial completeness function, respectively.

With the FWHM of the F913 filter, our survey covers the redshift range from $z = 6.4$ to 6.6 . The full FOV of the final reduced image in the F913 band is 59 arcmin^2 . We derive a survey volume of $31\,368 \text{ Mpc}^3$ (comoving). Now, we can calculate the LAE number densities by simply dividing the corrected expected number of LAEs in each bin by the survey volume. Our LAE number densities along with the best-fitting Schechter functions for our survey and the 1-deg^2 SXDS field (Ouchi et al. 2010) are plotted in Fig. 14. The error bars in number density are estimated by a Poisson statistic ($\sigma_N = \sqrt{N}$), the variation in completeness and contamination levels, and the estimated error in the redshift range of the survey. The slope of the Schechter function, α , is fixed to -1.5 , as conventionally applied for many high redshift LAE observations (e.g. Shimasaku et al. 2003, 2006; Malhotra & Rhoads 2004; Kashikawa et al. 2006; Ouchi et al. 2008, 2010). We obtain our best-fitting Schechter function via χ^2 -fitting with the reduced χ^2 value of 1.57 (χ_r^2), indicating that the fitted Schechter function represents the LAE number densities quite well. The fitted parameters from our observations and Ouchi et al. (2010) are listed in Table 3. The errors on the Schechter parameters as shown in the table are obtained from 68 per cent confidence level (1σ intervals) of the $\Delta\chi_r^2$ distribution.

To investigate further the possibility that the peculiar distribution of the LAE candidates on the right side of the OSIRIS FOV could be the result of edge effects that may affect our derived luminosity function, we repeat the analysis for only the left OSIRIS CCD (i.e. $\text{RA} \geq 2^{\text{h}}18^{\text{m}}20^{\text{s}}.250$) where the completeness and spurious corrections are the smallest. The volume corresponding to this part of the field is 48 per cent of the total survey volume. The constructed luminosity function of the LAE candidates on the left OSIRIS CCD are calculated and shown as pink circles in Fig. 15. The LAE candidates in this part of the field have F913 AB magnitudes fainter than 25 mag. Thus, we can construct only four binned number densities for this group of LAE candidates, from the total of six magnitude

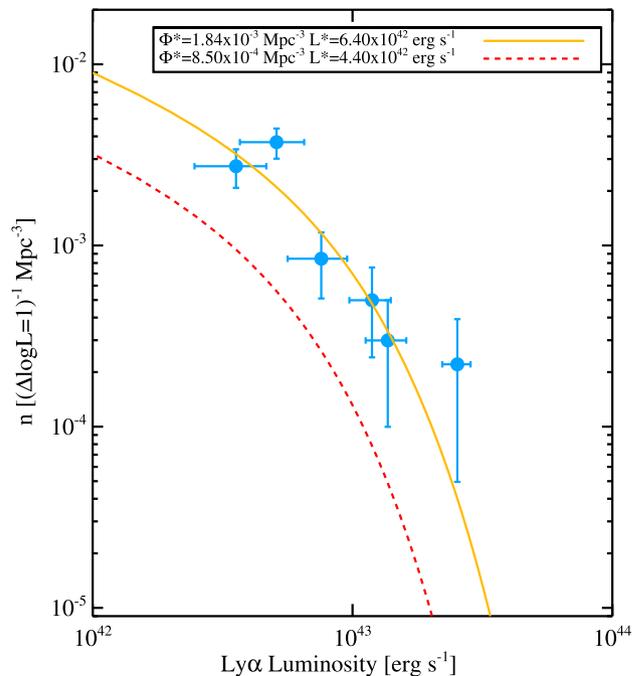


Figure 14. Ly α luminosity functions of the SXDS field containing two massive LAEs discovered by Ouchi et al. (2010). Our calculated number density of LAE candidates per unit luminosity per Mpc^3 are shown as sky-blue solid circles. Note that the number density already takes into account the completeness/contamination correction and the success rate of the spectroscopic follow-up of the photometric selected LAE candidates (i.e. 2/3). The red dashed line is the best-fitting luminosity function of the overall SXDS and SDF fields at redshift $z = 6.6$ (Ouchi et al. 2010). The yellow solid line is the luminosity function fitting best to our calculated LAE number densities, by least χ^2 -fitting ($\chi_r^2 = 1.57$). The slope of both luminosity functions is fixed at $\alpha = -1.5$, while the other parameters are as indicated in the figure. Note that the Ly α luminosity is calculated from SExtractor's auto-magnitudes and the FWHM of the F913w25 filter, assuming the LAE candidates are at $z = 6.5$.

bins. Nevertheless, we found that the number densities of the two brightest bins are consistent with the number densities of the SXDS-N sub-field from Ouchi et al. (2010) within 1σ , while the number densities of the two faintest bins are well above the red dashed line by 3σ , as shown in Fig. 15. The best-fitting Schechter parameters for this group of LAE candidates are listed in Table 3. The observed number density of LAEs derived from the left OSIRIS CCD is consistent with the one derived for the full FOV within 1σ as well. Thus, from the additional analysis on the luminosity function of the LAE candidates on the left OSIRIS CCD alone, we found no conclusive evidence that all of the LAE candidates on the right OSIRIS CCD are purely spurious detections from the edge effect, nor that it could affect our measurement of the luminosity function and our conclusions. Either way, we have strong evidence for the overdensity of LAEs at $z = 6.5$ in this particular field.

The F913 medium band covers the range of redshift around $z \sim 6.4$ to 6.6 , while the NB921 narrow-band covers only around $z \sim 6.5$ to 6.6 . Thus, our three-medium-band selection should provide a longer line-of-sight depth for the high redshift LAE survey in comparison to the traditional narrow-band selection, which yields a survey volume closer to a cubical shape in 3D. Thus, our selection method should provide a better constraint on the number density of the LAEs in this particular sub-field, even though the Ly α luminosity sensitivities are comparable. Considering our 3σ

Table 3. Luminosity function parameters.

| z | Φ^* (10^{-3} Mpc^{-3}) | L^* ($10^{42} \text{ erg s}^{-1}$) | α | χ_r^2 | n^{obs} (10^{-4} Mpc^{-3}) | $\rho_{\text{Ly}\alpha}^{\text{obs}}$ ($10^{39} \text{ erg s}^{-1} \text{ Mpc}^{-3}$) | $\rho_{\text{Ly}\alpha}^{\text{tot}}$ ($10^{40} \text{ erg s}^{-1} \text{ Mpc}^{-3}$) | Comment |
|-----|--|---|----------|------------|--|--|--|----------------------|
| (1) | (2) | (3) | (4) | (5) | (6) | (7) | (8) | |
| 6.5 | $1.84^{+0.67}_{-0.61}$ | $6.40^{+1.55}_{-2.12}$ | -1.5 | 1.57 | $6.45^{+2.66}_{-2.74}$ | $4.96^{+2.99}_{-3.65}$ | $2.09^{+0.91}_{-0.97}$ | This work (full FOV) |
| 6.5 | $3.00^{+1.87}_{-0.99}$ | $4.05^{+1.07}_{-1.33}$ | -1.5 | 1.81 | $10.52^{+6.94}_{-4.47}$ | $5.12^{+4.17}_{-3.75}$ | $2.15^{+1.46}_{-1.00}$ | This work (left CCD) |
| 6.6 | $0.85^{+0.30}_{-0.22}$ | $4.40^{+0.60}_{-0.60}$ | -1.5 | 1.60 | $4.10^{+0.90}_{-0.80}$ | $1.90^{+0.50}_{-0.40}$ | $0.66^{+0.10}_{-0.08}$ | Ouchi et al. (2010) |

Notes. (1) Redshift, (2)–(4) best-fitting Schechter parameters for Φ^* , L^* and α (which is fixed to -1.5), (5) reduced χ^2 of the fitting function, (6, 7) observed number density and Ly α luminosity density calculated by integrating the best-fitting Schechter function down to the observed limit of Ly α luminosity [i.e. $\log(L_{\text{Ly}\alpha}) = 42.4 \text{ erg s}^{-1}$], (8) inferred total Ly α luminosity density calculated by integrating the best-fitting Schechter function down to $L_{\text{Ly}\alpha} = 0$.

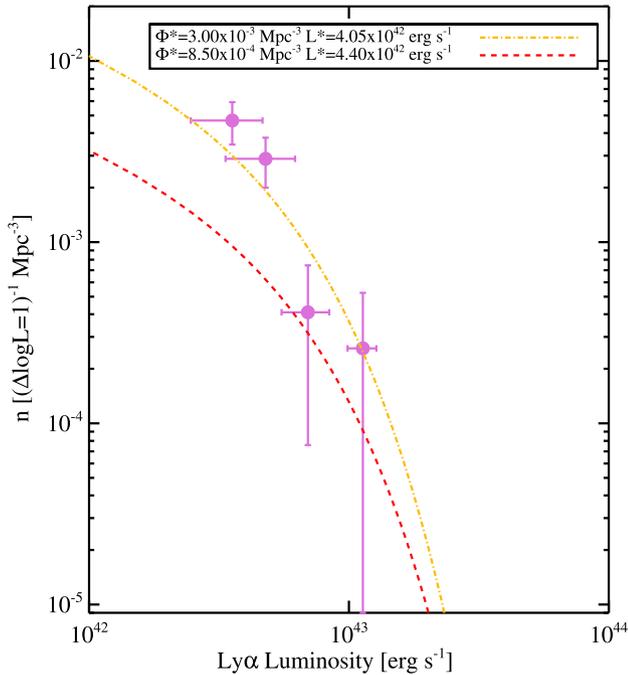


Figure 15. Ly α luminosity functions of SXDS field as in Fig. 14, but with only the analysis done on the left OSIRIS CCD. Our calculated number densities of LAE candidates are shown in pink solid circles. The red dashed line is the best-fitting luminosity function of the overall SXDS and SDF fields at redshift $z = 6.6$ (Ouchi et al. 2010). The yellow dash-dotted line is the best-fitting luminosity function to our calculated LAE number densities, by performing least χ^2 -fitting ($\chi_r^2 = 1.81$). The slope of the luminosity function is also fixed at $\alpha = -1.5$, while the other parameters are as indicated in the figure.

limiting magnitude, this survey has the Ly α sensitivity down to $\sim \log(L) = 42.4$. This provides the lower limit for integration of the observed LAE number and luminosity densities, n^{obs} and $\rho_{\text{Ly}\alpha}^{\text{obs}}$. By comparing these parameters with the ones from the 1-deg² SXDS field by Ouchi et al. (2010), we can determine the level of overdensity and also the clustering signature of this sub-field. As indicated in Table 3, the overdensity level inferred from the Φ^* ratio of this sub-field (this work) and the overall SXDS field (Ouchi et al. 2010) is 2.16 times (3.53 times for the left OSIRIS field alone). An overdensity of the same level, leading to a protocluster around $z = 5.7$, also has been observed (e.g. Malhotra et al. 2005; Wang, Malhotra & Rhoads 2005). Simulations of the clustering properties of this potential protocluster have been performed and discussed in detail in Paper II, which provides further evidence that this overdensity may lead to a galaxy cluster mass $\sim 10^{15} M_{\odot}$ (comparable to the Coma cluster) at $z = 0$. Spectroscopic follow-ups of the LAE candi-

dates were conducted using the Multi-Object Spectrometer (MOS) capability of OSIRIS at GTC and the results will be presented in the forthcoming paper (Paper III).

5 CONCLUSIONS

We surveyed the faint LAE population near the end of the Reionization Epoch. With our three-band imaging approach using GTC/OSIRIS, we successfully detected 47 LAE candidates in the SXDS field containing two spectroscopically confirmed massive LAEs. We studied the level of overdensity in this particular field. While the expected number of LAEs without the overdensity would be of the order of 20 for the survey volume of $\sim 30\,000 \text{ Mpc}^3$ (the redshift ranges from $z = 6.4$ to 6.6), we found a substantially larger number of these high- z galaxies.

After a careful analysis taking into account the spatially differential completeness and contamination correction, the success rate in the spectroscopic follow-up, and the level of contamination by low- z interlopers, we constructed the luminosity function of the LAE candidates in this sub-field and found that the best-fitting parameters (i.e. Φ^* and L^*) yield an overdensity level of 2.16 times higher than those of the previous studies in the same field. From the clustering simulation in Paper II, this overdensity could correspond to a protocluster that will collapse and turn into a massive galaxy cluster with a total mass of the order of $10^{15} M_{\odot}$ at $z = 0$, like the Coma cluster. However, conclusive evidence of such a protocluster in this field is yet to be confirmed via a spectroscopic follow-up.

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